AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Complian filed in the U.S. Dis		15 U.S.C. § 1116 you are hereby advised that a cou Eastern District of Texas	rt action has been on the following
	Patents. (the patent act		
DOCKET NO. 16cv1003	DATE FILED 7/11/2016	U.S. DISTRICT COURT Eastern District of	Texas
PLAINTIFF		DEFENDANT	
Iridescent Networks		AT&T	
	,		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR	TRADEMARK
1 8,184,538			
2 8,036,119			
3			
4			
5			
	In the above—entitled case, the	e following patent(s)/ trademark(s) have been inclu-	ded:
DATE INCLUDED	INCLUDED BY	in the state of th	
DATE INCEODED		endment Answer Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR	TRADEMARK
1			
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In the abo	ve—entitled case, the following	decision has been rendered or judgement issued:	
DECISION/JUDGEMENT		, , ,	
CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
Alexandria, Virginia 22313-1450
www.uspho.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 12/632,786 12/07/2009 KATHY MCEWEN IRID-29,789

25883 HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715

CONFIRMATION NO. 4465 POWER OF ATTORNEY NOTICE



Date Mailed: 09/09/2013

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/16/2013.

• The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/mbeyene/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



United States Patent and Trademark Office

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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 12/632,786 12/07/2009 KATHY MCEWEN IRID-29,789

Kathy McEwen 7809 La Guardia Drive Plano, TX 75025

CONFIRMATION NO. 4465 POA ACCEPTANCE LETTER



Date Mailed: 09/09/2013

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/16/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/mbeyene/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

12/632786

AUG 1 6 2013

PTO/SB/81A (12-08) Approved for use through 11/30/2011. OMB 0651-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT - POWER OF ATTORNEY	Patent Number	8,036,119	1
OR	Issue Date	Oct 11, 2011	_
REVOCATION OF POWER OF ATTORNEY	First Named Inventor	Kathy McEwen	
WITH A NEW POWER OF ATTORNEY AND	Title	System and Method of Providing Bandwidth on Demand	
CHANGE OF CORRESPONDENCE ADDRESS	Attorney Docket Number		_

I here	eby revoke all	previous powers of attorney given in	the ab	ove-ide	ntified patent.		
	A Power of At	torney is submitted herewith.					
OR OR	I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) with respect to the patent identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:						
	I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) with respect to the patent identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:						
		Practitioner(s) Name			Registration	on Number	
	Kathy McEwer	<u> </u>	1_				
}							
ι	Man.						
Please	The address as	ange the correspondence address for the above- sociated with the above-mentioned Customer		ed patent	to:		
	The address ass	sociated with Customer Number:					
12(Firm or Individual Name	Kathy McEwen					
Addres	SS	7809 La Guardia Drive, Plano, Texas, U	SA 750	25			
City		Plano		State	TX	Zip	75025
Countr		USA					
Teleph	one	1-469-231-6778		Email 、	kathy@irldescen	tnetworks.co	om
I am the: Inventor, having ownership of the patent. OR Patent owner. Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on							
		SIGNATURE of Invento	or or Pat	ent Owne	r		
Signat	ture	A atlan W Turn			Date	July 2, 201	3
Name		Kathy McEven			Telephone	1-469-231-	6778
Title a	Title and Company CEO, Iridescent Networks Inc						
	Signatures of all the is required, see b	e inventors or patent owners of the entire interest elow*.	or their re	presentativ	re(s) are required.	Submit multip	le forms if more than one
\times	Total of 2 forms are submitted.						

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. O. Rey 1450

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 APPLICATION NO.
 ISSUE DATE
 PATENT NO.
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 12/632,786
 10/11/2011
 8036119
 IRID-29,789
 4465

25883

7590

09/21/2011

HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

KATHY MCEWEN, PLANO, TX;

IR103 (Rev. 10/09)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT TO SET TO SUBTRISSION under 37 CFR 1.99) to document, M.C.R. Application Number 12632786 - GAU: 2465 Filing Date First Named Inventor Kathy McEwen Art Unit Examiner Name Attorney Docket Number IRID-29,789

7/14/2011

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	6	20020150094	A1	2002-10)-01	Chei	ng et al.				
	7	20040151153	A1	2004-08	3-01	Henry et al.					
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Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							T 5				

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.H./

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for meintrepress respectively. maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 7590 25883 06/14/2011 HOWISON & ARNOTT, L.L.P Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. P.O. BOX 741715 DALLAS, TX 75374-1715 (Depositor's name (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 12/632.786 12/07/2009 KATHY MCEWEN IRID-29,789 4465 TITLE OF INVENTION: SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE YES \$755 \$300 \$1055 09/14/2011 nonprovisional \$0 EXAMINER ART UNIT CLASS-SUBCLASS HOUSHMAND, HOOMAN 2465 370-389000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list 1 HOWISON & ARNOTT, L.L.P. (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) : 🔲 Individual 📮 Corporation or other private group entity 🖵 Government 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: Issue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 200780 (enclose an extra copy of this fo ☐ Advance Order - # of Copies 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27 □ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature __/Timothy F. Bliss Reg. #50925/ Date __2011-09-07 Typed or printed name __TIMOTHY F. BLISS Registration No. 50,925 This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

PTOL-85 (Rev. 02/11) Approved for use through 08/31/2013.

OMB 0651-0033

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

IRID-29,789 PATENT

SUPPLEMENTAL DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention, design or discovery entitled

SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND

the specification of which was filed on December 7, 2009, as Application Serial No. 12/632,786;

I have reviewed and understand the contents of the above-identified specification, including the claims as originally filed and as amended in the amendment(s) filed on March 17, 2011; and

I acknowledge my duty to disclose information of which I am aware which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issue thereon.

Full name of the Sole Inventor; Kathy McEWEN

Inventor's Signature:

Date: _______

Residence (City, State): PLANO, TEXAS

Citizenship: US

Post Office Address: 7809 LaGuardia Drive, Plano, Texas 75025

SUPPLEMENTAL DECLARATION S/N 12/632,786

Page 1 of 1

Electronic Patent Application Fee Transmittal						
Application Number:	12632786					
Filing Date:	07-	-Dec-2009				
Title of Invention:	SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND					
First Named Inventor/Applicant Name: KATHY MCEWEN						
Filer:	Timothy Frank Bliss/BETH PIEROTTI					
Attorney Docket Number:	IRI	D-29,789				
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Utility Appl issue fee		2501	1	755	755	
Publ. Fee- early, voluntary, or normal		1504	1	300	300	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Extension-of-Time:					
Miscellaneous:					
Total in USD (\$)			(\$)	1055	

Electronic Acknowledgement Receipt					
EFS ID:	10896546				
Application Number:	12632786				
International Application Number:					
Confirmation Number:	4465				
Title of Invention:	SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND				
First Named Inventor/Applicant Name:	KATHY MCEWEN				
Customer Number:	25883				
Filer:	Timothy Frank Bliss				
Filer Authorized By:					
Attorney Docket Number:	IRID-29,789				
Receipt Date:	07-SEP-2011				
Filing Date:	07-DEC-2009				
Time Stamp:	17:08:45				
Application Type:	Utility under 35 USC 111(a)				
Payment information:	<u>I</u>				
Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$1055				
RAM confirmation Number	4135				
Deposit Account	200780				

File Name

Authorized User File Listing:

Document

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Document Description

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Pages (if appl.)

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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

25883 7590 06/14/2011 HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715 EXAMINER
HOUSHMAND, HOOMAN

ART UNIT PAPER NUMBER

2465 DATE MAILED: 06/14/2011

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 12/632,786 12/07/2009 KATHY MCEWEN IRID-29,789 4465

TITLE OF INVENTION: SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	09/14/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PTOL-85 (Rev. 02/11)

PART B - FEE(S) TRANSMITTAL

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Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for meintrepress respectively.

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 7590 25883 06/14/2011 HOWISON & ARNOTT, L.L.P Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. P.O. BOX 741715 DALLAS, TX 75374-1715 (Depositor's name (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 12/632.786 12/07/2009 KATHY MCEWEN IRID-29,789 4465 TITLE OF INVENTION: SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE YES \$755 \$300 \$0 \$1055 09/14/2011 nonprovisional EXAMINER ART UNIT CLASS-SUBCLASS HOUSHMAND, HOOMAN 2465 370-389000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 📮 Corporation or other private group entity 📮 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ Issue Fee A check is enclosed. Publication Fee (No small entity discount permitted) ☐ Payment by credit card. Form PTO-2038 is attached Advance Order - # of Copies The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _______(enclose an extra copy of this for (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27 ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2) NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

PTOL-85 (Rev. 02/11) Approved for use through 08/31/2013.

OMB 0651-0033

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/632,786	12/07/2009	KATHY MCEWEN	IRID-29,789	4465
25883 75	90 06/14/2011		EXAM	INER
HOWISON & ARNOTT, L.L.P P.O. BOX 741715			HOUSHMAN	D, HOOMAN
DALLAS, TX 753	74-1715		ART UNIT	PAPER NUMBER
			2465	

DATE MAILED: 06/14/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)				
	12/632,786	MCEWEN, KATHY				
Notice of Allowability	Examiner	Art Unit				
	Hooman Houshmand	2465				
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS				
1. A This communication is responsive to <u>amendment and Tern</u>	ninal Disclaimer filed 03/17/2011.					
2. X The allowed claim(s) is/are 1, 4-14, 20-23.						
 Acknowledgment is made of a claim for foreign priority una	been received. been received in Application No					
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.						
4. A SUBSTITUTE OATH OR DECLARATION must be subminification (PTO-152) which give						
 CORRECTED DRAWINGS (as "replacement sheets") mus (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the deposit of the depo	on's Patent Drawing Review (PTO- s Amendment / Comment or in the C 84(c)) should be written on the drawing the header according to 37 CFR 1.121(c sit of BIOLOGICAL MATERIAL n	office action of the back) of all the submitted. Note the				
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. Notice of Informal P 6. Interview Summary Paper No./Mail Dat 7. Examiner's Amendn 8. Examiner's Stateme 9. Other /MARSHA D. BANKS Supervisory Patent Examiner	(PTO-413), e nent/Comment nt of Reasons for Allowance HAROLD/				

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20110325

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12632786	MCEWEN, KATHY
	Examiner	Art Unit
	Hooman Houshmand	2465

		ORIGI	NAL			INTERNATIONAL CLASSIFICATION									
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/Hooman Houshmand/ Examiner.Art Unit 2465	03/28/2011	Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	1	6
/MARSHA D BANKS HAROLD/ Supervisory Patent Examiner.Art Unit 2465	06/06/2011	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	10

U.S. Patent and Trademark Office Part of Paper No. 20110325

Search Notes



Applicant(s)/Patent Under Reexamination

12632786 MCEWEN, KATHY

Examiner

Art Unit

Hooman Houshmand

2465

	SEARCHED		
Class	Subclass	Date	Examiner
370	468,231,395.21 (text search only)	3/25/2011	HH

SEARCH NOTES		
Search Notes	Date	Examiner
EAST, NPL	3/25/2011	HH
Inventorship search completed in EAST	3/25/2011	HH

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
370	231,395.21 (see attached search history printout)	3/25/2011	HH

U.S. Patent and Trademark Office Part of Paper No.: 20110325

EAST Search History

EAST Search History (Prior Art)

Ref#	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	62355	portal	US-PGPUB; USPAT	OR	ON	2011/03/28 09:30
L2	4703155	control\$3	US-PGPUB; USPAT	OR	ON	2011/03/28 09:30
L3	1185383	rout\$3	US-PGPUB; USPAT	OR	ON	2011/03/28 09:30
L4	291639	traffic	US-PGPUB; USPAT	OR	ON	2011/03/28 09:30
L5	8078	L1 L2 L3 L4	US-PGPUB; USPAT	AND	ON	2011/03/28 09:30
L6	5788	(370/468,231,395.21).OCLS.	US-PGPUB; USPAT	OR	OFF	2011/03/28 09:30
L7	46	L5 L6	US-PGPUB; USPAT	AND	ON	2011/03/28 09:30
S32	62355	portal	US-PGPUB; USPAT	OR	ON	2011/03/28 09:18
S33	4703155	control\$3	US-PGPUB; USPAT	OR	ON	2011/03/28 09:18
S34	1185383	rout\$3	US-PGPUB; USPAT	OR	ON	2011/03/28 09:18
S35	291639	traffic	US-PGPUB; USPAT	OR	ON	2011/03/28 09:18
S36	23	S32 S33 S34 S35	US-PGPUB; USPAT	WITH	ON	2011/03/28 09:18
S37	79	S32 S33 S34 S35	US-PGPUB; USPAT	SAME	ON	2011/03/28 09:20
S38	148188	("370").CLAS.	US-PGPUB; USPAT	OR	OFF	2011/03/28 09:25
S39	25	S37 S38	US-PGPUB; USPAT	AND	ON	2011/03/28 09:25
S40	6028	bandwidth demand	US-PGPUB; USPAT	NEAR	ON	2011/03/28 09:27
S41	3	S37 S40	US-PGPUB; USPAT	AND	ON	2011/03/28 09:27
S42	18540	bandwidth demand	US-PGPUB; USPAT	WITH	ON	2011/03/28 09:27
S43	10	S37 S42	US-PGPUB; USPAT	AND	ON	2011/03/28 09:27
S44	5788	(370/468,231,395.21).OCLS.	US-PGPUB; USPAT	OR	OFF	2011/03/28 09:28

202020	S45	62355	portal	US-PGPUB;	OR	ON	2011/03/28 09:28
				USPAT			

EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L8	0	((IRIDESCENT WITH NETWORKS) IRIDESCENT). as.	, ,	OR	ON	2011/03/28 09:42
L9	3	((KATHY) near2 (MCEWEN)).INV.	US-PGPUB; USPAT; UPAD	OR	ON	2011/03/28 09:42
L10	0	("IRIDESCENT NETWORKS, INC.").as.	US-PGPUB; USPAT; UPAD	OR	ON	2011/03/28 09:46
L11	0	("IRIDESCENT NETWORKS INC").as.	US-PGPUB; USPAT; UPAD	WITH	ON	2011/03/28 09:46
L12	0	("IRIDESCENT NETWORKS INC.").as.	US-PGPUB; USPAT; UPAD	WITH	ON	2011/03/28 09:46

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EAST Search History

EAST Search History (Interference)

Ref#	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L13	2231	(370/231,395.21).OOLS.	US-PGPUB; USPAT; UPAD	OR	OFF	2011/03/28 09:52
L14	9777	portal.clm.	US-PGPUB; USPAT; UPAD	OR	ON	2011/03/28 09:52
L15	6	13 14	US-PGPUB; USPAT; UPAD	AND	ON	2011/03/28 09:52
L16	133828	rout\$3.clm.	US-PGPUB; USPAT; UPAD	OR	ON	2011/03/28 09:54
L17	759	14 16	US-PGPUB; USPAT; UPAD	AND	ON	2011/03/28 09:54
L18	313	14 16	US-PGPUB; USPAT; UPAD	SAME	ON	2011/03/28 09:54
L19	43489	traffic.clm.	US-PGPUB; USPAT; UPAD	OR	ON	2011/03/28 09:55
L20	13	14 16 19	US-PGPUB; USPAT; UPAD	SAME	ON	2011/03/28 09:55

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Application Number		Application/Col	ntrol No.	Re	oplicant(s)/Patent under examination CEWEN, KATHY		
Document Code - DISQ			Internal Document – DO NOT MAIL				
TERMINAL DISCLAIMER	⊵	⊠ APPROVED			☐ DISAPPROVED		
Date Filed : 03/17/11	This patent is subject to a Terminal Disclaimer						
Approved/Disapproved b	y:						
Angie Walker							

U.S. Patent and Trademark Office

IRID-29,789 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: McEWEN, Kathy

Serial No.: 12/632,786

Confirmation No.: 4465

Filed: December 7, 2009

Group: 2465

Examiner: HOUSHMAND, Hooman

For: SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON

DEMAND

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

AMENDMENT AND RESPONSE TO OFFICE ACTION

This communication is responsive to the Office Action mailed February 2, 2011.

<u>Amendments to the Claims</u> are reflected in the listing of claims beginning on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

AMENDMENT AND RESPONSE

SN: 12/632,786

Atty. Dkt. No. IRID-29,789

Page 1 of 6

In the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Original) A method for providing bandwidth on demand comprising:

receiving, by a controller positioned in a network, a request for a high quality of service connection supporting any one of a plurality of one-way and two-way traffic types between an originating end-point and a terminating end-point, wherein the request comes from the

originating end-point and includes at least one of a requested amount of bandwidth and a codec;

determining, by the controller, whether the originating end-point is authorized to use the requested amount of bandwidth or the codec and whether the terminating end-point can be

reached by the controller;

directing, by the controller, a portal that is positioned in the network and physically

separate from the controller to allocate local port resources of the portal for the connection;

negotiating, by the controller, to reserve far-end resources for the terminating end-point;

and

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providing, by the controller to the portal, routing instructions for traffic corresponding to

the connection so that the traffic is directed by the portal based only on the routing instructions

provided by the controller, wherein the portal does not perform any independent routing on the

traffic, and wherein the connection extending from the originating end-point to the terminating

end-point is provided by a dedicated bearer path that includes a required route supported by the

portal and dynamically provisioned by the controller, and wherein control paths for the

connection are supported only between each of the originating and terminating end-points and

the controller and between the portal and the controller.

2-3. (Canceled)

4. (Original) The method of claim 1 wherein the controller is associated with a single class

of service and wherein a service type of the request identifies the request as being of the single

class of service and the request is routed to the controller based on the service type.

AMENDMENT AND RESPONSE

SN: 12/632,786

Atty. Dkt. No. IRID-29,789

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5. (Original) The method of claim 1 wherein the request is received by the controller based

on signaling from a user to the controller.

6. (Original) The method of claim 5 wherein the request is received from the user via one

of a directory request, an Internet Protocol address, and a web page.

7. (Original) The method of claim 1 further comprising:

identifying, by the controller, billing information of a user corresponding to the request

for a high quality of service connection; and

charging the user for the connection.

8. (Original) The method of claim 7 wherein the charging may be based on at least one of a

service type, an elapsed period of time, a codec type, and an amount of bandwidth used.

9. (Original) The method of claim 1 wherein determining whether the originating end-point

is authorized is based on information in a subscriber database.

10. (Original) The method of claim 1 wherein the negotiating, by the controller, to reserve

far-end resources on the terminating end-point includes negotiating with another controller

associated with the terminating end-point.

11. (Original) The method of claim 1 wherein the negotiating, by the controller, to reserve

far-end resources for the terminating end-point includes negotiating directly with the terminating

end-point.

12. (Original) The method of claim 1 wherein the negotiating, by the controller, to reserve

far-end resources for the terminating end-point includes negotiating a video codec for use with

the connection to avoid video codec conversion between the originating and terminating end-

points.

13. (Original) The method of claim 1 wherein the connection is a point-to-point connection

AMENDMENT AND RESPONSE

SN: 12/632,786

Atty. Dkt. No. IRID-29,789

Page 3 of 6

between only the originating and terminating end-points.

14. (Original) The method of claim 1 wherein the connection is a point-to-multipoint

connection between one of the originating and terminating end-points and the other of the

originating and terminating end-points and at least one other end-point.

15-19. (Canceled)

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20. (Original) A method for providing bandwidth on demand comprising:

receiving, by a controller positioned in a network, a request for a high quality of service

connection between an originating end-point and a terminating end-point, wherein the request

includes at least one of a requested amount of bandwidth and a video codec;

determining, by the controller, whether the originating end-point is authorized to use the

requested amount of bandwidth or the video codec;

communicating, by the controller, with the originating and terminating end-points to

ensure that the connection is free from video codec conversion;

directing, by the controller, one of a plurality of portals that is positioned in the network

nearest to the originating end-point and physically separate from the controller to allocate local

port resources of the portal for the connection; and

sending, by the controller to the portal, routing instructions for the connection, wherein

traffic for the connection is routed by the portal based only on the routing instructions, and

wherein the connection extending from the originating end-point to the terminating end-point is

provided by a dedicated bearer path that includes a required route supported by the portal and

dynamically provisioned by the controller, and wherein control paths for the connection are

supported between each of the originating and terminating end-points and the controller and

between the portal and the controller.

21. (Original) The method of claim 20 further comprising negotiating, by the controller, to

reserve far-end resources on the terminating end-point.

22. (Original) The method of claim 21 wherein the negotiating is performed with one of

AMENDMENT AND RESPONSE

SN: 12/632,786

Atty. Dkt. No. IRID-29,789

Page 4 of 6

another controller associated with the terminating end-point or directly with the terminating end-point.

23. (Original) The method of claim 20 further comprising:

receiving, by the controller, a notification from the portal that traffic on the connection has exceeded an authorized limit; and

instructing the portal, by the controller, whether to terminate or allow the connection to continue.

AMENDMENT AND RESPONSE

SN: 12/632,786

5

Atty. Dkt. No. IRID-29,789

Page 5 of 6

REMARKS

Applicant has carefully reviewed the Office Action dated February 2, 2011. Applicant

has canceled claims 2, 3, and 15-19. A terminal disclaimer is attached to address the

nonstatutory obviousness-type double patenting rejection. Claims 15-19, which stand rejected

under 35 U.S.C. §103 in light of U.S. Patent No. 6,961,539 to Schweinhart, have been canceled.

Accordingly, Applicant submits that pending claims 1, 4-14, and 20-23 are allowable.

For the reasons stated above, Applicant respectfully requests full allowance of the claims

as amended. Please charge any additional fees or deficiencies in fees or credit any overpayment

to Deposit Account No. 20-0780/IRID-29,789 of HOWISON & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON & ARNOTT, L.L.P.

Attorneys for Applicant

/Timothy F. Bliss Reg. #50925/

Timothy F. Bliss

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TFB/mep

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Fax: 972-479-0464

March 17, 2011

AMENDMENT AND RESPONSE

SN: 12/632,786

Atty. Dkt. No. IRID-29,789

Page 6 of 6

ERIC-1002

RPX/Ericsson v. Iridescent

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT	IRID-29,789
In re Application of: MCEWEN, Kathy	
Application No.: 12/632,786	
Filed: December 7, 2009	
For: SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND	
The owner*, <u>IRIDESCENT NETWORKS, INC.</u> , of <u>100</u> percent interest in except as provided below, the terminal part of the statutory term of any patent granted on the instant at the expiration date of the full statutory term prior patent No. <u>7,639,612</u> as the term of said and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The correct on the instant application shall be enforceable only for and during such period that it and the pagreement runs with any patent granted on the instant application and is binding upon the grantee, its such that the pagreement runs with any patent granted on the instant application and is binding upon the grantee, its such that the pagreement runs with any patent granted on the instant application and is binding upon the grantee, its such that the pagreement runs with any patent granted on the instant application and is binding upon the grantee, its such that the pagreement runs with any patent granted on the instant application and is binding upon the grantee, its such that the pagreement runs with any patent granted on the instant application and is binding upon the grantee, its such that the pagreement runs with any patent granted on the instant application and is binding upon the grantee, its such that the pagreement runs with any patent granted on the instant application and is binding upon the grantee, its such that the pagreement runs with any patent granted on the instant application and is binding upon the grantee of the gra	application which would extend beyond prior patent is defined in 35 U.S.C. 154 owner hereby agrees that any patent so prior patent are commonly owned. This successors or assigns.
patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or is in any manner terminated prior to the expiration of its full statutory term as presently shortened in the patents.	
Check either box 1 or 2 below, if appropriate.	
1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university etc.), the undersigned is empowered to act on behalf of the business/organization.	, government agency,
I hereby declare that all statements ma de herein of my own knowledge are true and that a belief are belie ved to be true; a nd further that th ese statements were made with the knowledge that made are punis hable by fine or imprisonment, or both, under Se ction 1001 of Title 18 of the United S statements may jeopardize the validity of the application or any patent issued thereon.	willful false s tatements and the like so
2. The undersigned is an attorney or agent of record. Reg. No. 50,925	
/Timothy F. Bliss Reg. #50925/	2011-03-17
Signature	Date
TIMOTHY F. BLISS	
Typed or printed name	
	972-479-0462
	Telephone Number
Terminal disclaimer fee under 37 CFR 1.20(d) included.	
WARNING: Information on this form may become public. Credit card inform be included on this form. Provide credit card information and authorization	
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.	

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to c omplete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal Application Number: 12632786 Filing Date: 07-Dec-2009							
Application Number:	120	532786					
Filing Date:	07-Dec-2009						
Title of Invention:	SY:	STEM AND METHOD	O OF PROVIDING	5 BANDWIDTH ON	DEMAND		
First Named Inventor/Applicant Name:	KATHY MCEWEN						
Filer:	Timothy Frank Bliss/BETH PIEROTTI						
Attorney Docket Number:	IRID-29,789						
Filed as Small Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Statutory or terminal disclaimer	2814	1	70	70
Total in USD (\$)				70

Electronic Acknowledgement Receipt					
EFS ID:	9676961				
Application Number:	12632786				
International Application Number:					
Confirmation Number:	4465				
Title of Invention:	SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND				
First Named Inventor/Applicant Name:	KATHY MCEWEN				
Customer Number:	25883				
Filer:	Timothy Frank Bliss				
Filer Authorized By:					
Attorney Docket Number:	IRID-29,789				
Receipt Date:	17-MAR-2011				
Filing Date:	07-DEC-2009				
Time Stamp:	15:59:39				
Application Type:	Utility under 35 USC 111(a)				
Payment information:					
Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$70				
RAM confirmation Number	2059				
Deposit Account	200780				

File Name

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Document

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Document Description

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		Total Files Size (in bytes):	32	26442	
Information:					
Warnings:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30157 bba983aa8f6690adc175f958cdb4e61fb80e ea10	no	2
Information:					
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2 Terminal Disc	Terminal Disclaimer Filed	IRI 29789 Terminal Disclaimer, pdf	211595	no	2
Information:					
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	Applicant Arguments/Remarks	6		6	
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application or Docket Number PATENT APPLICATION FEE DETERMINATION RECORD Filing Date 12/07/2009 12/632,786 To be Mailed Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY X SMALL ENTITY (Column 1) (Column 2) FOR NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) ■ BASIC FEE N/A N/A N/A N/A (37 CFR 1.16(a), (b), or (c) ☐ SEARCH FEE N/A N/A N/A N/A CFR 1.16(k), (i), EXAMINATION FEE (37 CFR 1.16(o), (p), or (q) N/A N/A N/A N/A TOTAL CLAIMS OR minus 20 = INDEPENDENT CLAIMS (37 CFR 1.16(h)) X \$ X \$ If the specification and drawings exceed 100 sheets of paper, the application size fee due ☐APPLICATION SIZE FEE is \$250 (\$125 for small entity) for each (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) TOTAL TOTAL If the difference in column 1 is less than zero, enter "0" in column 2. APPLICATION AS AMENDED - PART II OTHER THAN (Column 3) SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) CLAIMS HIGHES1 REMAINING PRESENT ADDITIONAL ADDITIONAL NUMBER 03/17/2011 RATE (\$) RATE (\$) FEE (\$) **AFTER EXTRA** FEE (\$) AMENDMEN⁻ AMENDMENT PAID FOR Total (37 CFR OR * 16 Minus ** 23 = 0 X \$26 = 0 X \$ * 2 ***3 = 0 0 OR Minus X \$ X \$110 = Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL 0 OR ADD'L ADD'L FEE FEE (Column 1) (Column 2) (Column 3) CLAIMS ADDITIONAL REMAINING NUMBER PRESENT ADDITIONAL RATE (\$) RATE (\$) FEE (\$) FEE (\$) PREVIOUSLY **EXTRA** AMENDMENT PAID FOR AMENDMEN⁻ Total (37 CFR Minus X \$ OR X \$ Minus OR X \$ X \$ Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL OR ADD'L ADD'L * If the entry in column 1 is less than the entry in column 2, write "0" in column 3. Legal Instrument Examiner: ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

/CHERYL CLARK/

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/632,786	12/07/2009	KATHY MCEWEN	IRID-29,789	4465	
	7590 02/02/201 ARNOTT, L.L.P	1	EXAM	INER	
P.O. BOX 7417	'15	HOUSHMAND, HOOMAN			
DALLAS, TX 7	/55/4-1/15	ART UNIT PAPER NUMBER			
			2465	_	
			NOTIFICATION DATE	DELIVERY MODE	
			02/02/2011	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@dalpat.com

		Application	n No.	Applicant(s)						
	Office Action Cummons	12/632,78	6	MCEWEN, KATH	Y					
	Office Action Summary	Examiner		Art Unit						
		Hooman H		2465						
Period fo	The MAILING DATE of this communication r Reply	n appears on the	cover sheet with the c	orrespondence ad	ldress					
WHIC - Exten after 3 - If NO - Failur Any re	DRTENED STATUTORY PERIOD FOR RI HEVER IS LONGER, FROM THE MAILIN sions of time may be available under the provisions of 37 CF SIX (6) MONTHS from the mailing date of this communicatio period for reply is specified above, the maximum statulory per to reply within the set or extended period for reply will, by seply received by the Office later than three months after the datent term adjustment. See 37 CFR 1.704(b).	IG DATE OF TH FR 1.136(a). In no eve on. period will apply and will statute, cause the appl	IS COMMUNICATION nt, however, may a reply be tim expire SIX (6) MONTHS from cation to become ABANDONEI	I. ely filed the mailing date of this coorsists U.S.C. § 133).						
Status										
1)🛛	Responsive to communication(s) filed on _	12 July 2010.								
· · · · ·		This action is no	on-final.							
3)	Since this application is in condition for all-	owance except	or formal matters, pro	secution as to the	e merits is					
	closed in accordance with the practice und	der <i>Ex parte Qu</i>	<i>ayle</i> , 1935 C.D. 11, 45	3 O.G. 213.						
Disposition of Claims										
4) 🛛	Claim(s) <u>1-23</u> is/are pending in the applica	ation.								
4	4a) Of the above claim(s) is/are with	hdrawn from cor	sideration.							
5)🛛	Claim(s) <u>20-23</u> is/are allowed.									
	Claim(s) <u>1-19</u> is/are rejected.									
	Claim(s) is/are objected to.									
8)	Claim(s) are subject to restriction a	ınd/or election re	quirement.							
Application	on Papers									
9) 🛛 -	The specification is objected to by the Exam	miner.								
10)🛛 -	Γhe drawing(s) filed on <u>31 March 2010</u> is/a	are: a)🛛 accep	ted or b) Dobjected to	by the Examiner	í.					
	Applicant may not request that any objection to									
	Replacement drawing sheet(s) including the co									
11) 🔲 -	The oath or declaration is objected to by th	ne Examiner. No	te the attached Office	Action or form P7	īО-152.					
Priority u	nder 35 U.S.C. § 119									
	Acknowledgment is made of a claim for for All b) Some * c) None of:	eign priority und	ler 35 U.S.C. § 119(a)	-(d) or (f).						
	 Certified copies of the priority docur 									
	2. Certified copies of the priority docur									
	3. Copies of the certified copies of the	•		ed in this National	Stage					
* 0	application from the International Bu	,		-1						
	* See the attached detailed Office action for a list of the certified copies not received.									
Attachment	(s) e of References Cited (PTO-892)		4) Interview Summary	(PTO 412)						
	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948	8)	Paper No(s)/Mail Da	, ,						
	nation Disclosure Statement(s) (PTO/SB/08) No(s)/Mail Date		5) Notice of Informal P. 6) Other:	atent Application						

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20110118

Art Unit: 2465

DETAILED ACTION

Specification

1. The disclosure is objected to because of the following: page 2, paragraph 1: the US patent application has resulted in a patent; the patent number needs to be included, in this paragraph.

Double Patenting

2. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain <u>a</u> patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer coextensive in scope. The filing of a terminal disclaimer <u>cannot</u> overcome a double patenting rejection based upon 35 U.S.C. 101.

3. Claims 2 and 3 are rejected under 35 U.S.C. 101 as claiming the same invention as that of claims 1 and 2 of prior U.S. Patent No. 7639612. This is a double patenting rejection.

Claim 2 in the instant application is dependent on claim 1 - claims 2 and 1 in the instant application, claim the same limitations, as claim 1 of the prior U.S. Patent. Claim 3 in the instant application is the same as claim 2 of the prior U.S. Patent.

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4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

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5. Claims 1, 4-14 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1, 3-13 of U.S. Patent No. 7639612. Although the conflicting claims are not identical, they are not patentably distinct from each other because: it would have been obvious to a person having ordinary skill in the art, to delete the last eight lines of claim 1 of the patent; thereby broadening the scope of the claim. The dependent claims are identical.

Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 15-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schweinhart (US 6961539 B2).

Claim 15. Schweinhart teaches a method for providing bandwidth on demand comprising: receiving, by a portal in a network from a physically separate controller in the network via a control path, instructions to allocate local port resources for a high quality of service connection, wherein the instructions include real-time authorization of a user for the connection (Fig. 1: system employs Network Operations Control Center NOCC to manage and control communication services and operations); receiving, by the portal from the controller, routing information for traffic corresponding to the

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connection, wherein the routing information identifies a required route and wherein the portal does not perform additional routing (6:40-50 a connection that is established between a source ST and a destination ST is controlled by the NOCC, which provides management functions for the system, an ST needs to obtain authorization from the NOCC before making a request to the satellite. The NOCC keeps track of the total uplink and downlink bandwidth available for connections and will block a connection request if there is insufficient satellite capacity available to satisfy the request); routing, by the portal, traffic corresponding to the connection based on the routing instructions (Fig. 1 satellite 101); identifying, by the portal, that the traffic corresponding to the connection has exceeded an allowed amount of traffic; notifying the controller, by the portal, of the exceeded allowed amount of traffic; and receiving, by the portal from the controller, an instruction on handling the allowed amount of traffic that has been exceeded (7:66-8:15 If an ST receives a request denied response to a rate request, the ST notifies the NOCC 111, which then determines the course of action. NOCC can also de-allocate a rate request for an ST).

The reference teaches all of the elements of the claim; however, not necessarily in the same embodiment. It would have been obvious, to a person having ordinary skill in the art at the time that the invention was made, to combine the various embodiments of the reference - the motivation is to prevent data loss.

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Claim 16. Schweinhart further teaches the method of claim 15 further comprising terminating the connection, by the portal, based on the instruction received from the controller (7:66-8:15 ST receives a request denied response to a rate request).

Claim 17. Schweinhart further teaches the method of claim 15 further comprising allowing the connection to continue until a pre-set time limit is reached based on the instruction received from the controller (10:40-52 NOCC provides information such as when the connection is to be established, the duration of the connection, the needed bandwidth, priority, The connection setup requires first the NOCC admission control and then the payload bandwidth allocation before packets can be sent).

Claim 18. Schweinhart further teaches the method of claim 15 further comprising discarding, by the portal, any received packets corresponding to a user not authorized by the controller (10:40-52 connection setup requires first the NOCC admission control).

Claim 19. Schweinhart further teaches the method of claim 15 further comprising notifying the controller, by the portal, if traffic corresponding to the connection is no longer received (25:31-26:14 end-host may mistakenly believe that the packet have been reliably transferred, when in fact the packet was never delivered; TCP to report a down link after a threshold number of time-outs is exceeded; rain fade or ST reset, data may be lost without the knowledge of the application. sender not to close the TCP

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connection believing all data to have been delivered, when indeed this may not be true,

waits for the far-end host to acknowledge the FIN).

Allowable Subject Matter

8. Claims 1, 4-14 would be allowable - if a terminal disclaimer, as stated above, is

filed.

9. Claims 20-23 are allowed.

Conclusion

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Hooman Houshmand whose telephone number is

(571)270-1817. The examiner can normally be reached on Monday - Friday 8am - 5pm

EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jay Patel can be reached on (571) 272-2988. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2465

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/H. H./ Examiner, Art Unit 2465

/Jayanti K. Patel/

Supervisory Patent Examiner, Art Unit 2465

Application/Control No. Applicant(s)/Patent Under Reexamination 12/632,786 MCEWEN, KATHY Notice of References Cited Art Unit Examiner Page 1 of 1 Hooman Houshmand 2465 **U.S. PATENT DOCUMENTS** Document Number Country Code-Number-Kind Code Date Classification Name MM-YYYY * US-2007/0214265 A1 09-2007 Zampiello et al. 709/226 Α В US-2006/0179154 A1 08-2006 Sitaraman et al. 709/231 US-7,573,819 B2 08-2009 Krzanowski et al. 370/235 С US-2001/0019559 A1 09-2001 Handler et al. 370/468 D US-2002/0018477 A1 02-2002 Katz, Abraham Yehuda 370/401 Е F US-2002/0172222 A1 11-2002 Ullmann et al. 370/468 US-G US-Н US-US-J US-Κ US-L US-М FOREIGN PATENT DOCUMENTS Document Number Date Classification Country Name Country Code-Number-Kind Code MM-YYYY Ν 0 Ρ Q R S Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U

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2 (Rev. 01-2001) Notice of References Cited

Part of Paper No. 20110118

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12632786 Filing Date 2009-12-07 First Named Inventor KATHY MCEWEN Art Unit 2472 Examiner Name TO BE ASSIGNED Attorney Docket Number IRID-29,789

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	Application Number		12632786	12632786 - GAU: 246	
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INFORMATION DISCLOSURE	First Named Inventor	KATH	HY MCEWEN		
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INFORMATION DISCLOSURE	First Named Inventor	Kathy	McEwen
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	2	5835497	B1	1998-11-01	LITZENBERGER ET AL.	
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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12632786	MCEWEN, KATHY
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Search Notes

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Applicant(s)/Patent Under Reexamination MCEWEN, KATHY

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Art Unit

Hooman Houshmand

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	SEARCHED		
Class	Subclass	Date	Examiner
370	468,231,395.21 (text search only)	1/24/2011	НН

SEARCH NOTES		
Search Notes	Date	Examiner
EAST, NPL	1/24/2011	HH
Inventorship search completed in EAST	1/24/2011	HH

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APPLICANTS KATHY MCEWEN, PLANO, TX;										
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EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L2	5673	(370/468,231,395.21).OCLS.	US-PGPUB; USPAT	OR	OFF	2011/01/24 17:45
L3	60763	portal	US-PGPUB; USPAT	OR	ON	2011/01/24 17:46
L4	4633928	control\$3	US-PGPUB; USPAT	OR	ON	2011/01/24 17:46
L5	1164275	rout\$3	US-PGPUB; USPAT	OR	ON	2011/01/24 17:46
L6	285550	traffic	US-PGPUB; USPAT	OR	ON	2011/01/24 17:46
L7	7854	L3 L4 L5 L6	US-PGPUB; USPAT	AND	ON	2011/01/24 17:46
L8	45	7 2	US-PGPUB; USPAT	AND	ON	2011/01/24 17:47
S1	2	"US 20100183026"	US-PGPUB; USPAT; USOCR; DERWENT	OR	ON	2011/01/20 10:18
S2	0	((KATHY) near2 (MCEWEN)). INV.	EPO; JPO; DERWENT	OR	ON	2011/01/20 10:20
S7	5909	bandwidth demand	US-PGPUB; USPAT	NEAR	ON	2011/01/20 13:46
S12	60763	portal	US-PGPUB; USPAT	OR	ON	2011/01/20 13:47
S13	4633928	control\$3	US-PGPUB; USPAT	OR	ON	2011/01/20 13:48
S14	1164275	rout\$3	US-PGPUB; USPAT	OR	ON	2011/01/20 13:48
S15	285550	traffic	US-PGPUB; USPAT	OR	ON	2011/01/20 13:49
S16	21	S12 S13 S14 S15	US-PGPUB; USPAT	WITH	ON	2011/01/20 13:49
S17	77	S12 S13 S14 S15	US-PGPUB; USPAT	SAME	ON	2011/01/20 15:27
S18	144843	("370").CLAS.	US-PGPUB; USPAT	OR	OFF	2011/01/20 15:27
S19	25	S17 S18	US-PGPUB; USPAT	AND	ON	2011/01/20 15:28
S20	3	S17 S7	US-PGPUB; USPAT	AND	ON	2011/01/20 16:00
S21	18210	bandwidth demand	US-PGPUB; USPAT	WITH	ON	2011/01/20 16:01

S22	10	S17 S21	US-PGPUB; USPAT	AND	ON	2011/01/20 16:01
S23	1	("6961539").PN.	US-PGPUB; USPAT	OR	ON	2011/01/21 10:29
S24	12	("20010056578" "20020150094" "20020191539" "20030133552" "20040151153" "20050276218" "20060187831" "20070002897" "5457681" "5781623" "5835497" "5953350").PN.	US-PGPUB; USPAT	OR	ON	2011/01/21 11:31

EAST Search History (Interference)

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S3	0	((IRIDESCENT WITH NETWORKS) IRIDESCENT).as.	US-PGPUB; USPAT; UPAD	OR	ON	2011/01/20 10:19
S4	3	((KATHY) near2 (MCEWEN)). INV.	US-PGPUB; USPAT; UPAD	OR	ON	2011/01/20 10:20

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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/632,786 12/07/2009

KATHY MCEWEN IRID-29,789

25883 HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715 CONFIRMATION NO. 4465
PUBLICATION NOTICE

OC00000042690933

Title:SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND

Publication No.US-2010-0183026-A1 Publication Date:07/22/2010

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	Application Number		12632786	
	Filing Date		2009-12-07	
INFORMATION DISCLOSURE	First Named Inventor KATH		HY MCEWEN	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2472	
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	Application Number		12632786
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Filing Date		2009-12-07
First Named Inventor KATH		YMCEWEN
Art Unit		2472
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Attorney Docket Number		IRID-29,789

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acl	knowledgement Receipt		
EFS ID:	7995689		
Application Number:	12632786		
International Application Number:			
Confirmation Number:	4465		
Title of Invention:	SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND		
First Named Inventor/Applicant Name:	KATHY MCEWEN		
Customer Number:	25883		
Filer:	Timothy Frank Bliss		
Filer Authorized By:			
Attorney Docket Number:	IRID-29,789		
Receipt Date:	12-JUL-2010		
Filing Date:	07-DEC-2009		
Time Stamp:	16:42:22		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Information:

Submitted wi	th Payment	no								
File Listing:										
Document Document Description			File Name File Size(Bytes)/ Message Digest F		Multi Part /.zip	Pages (if appl.)				
1	Information Disclosure Statement (IDS)	IRI29789IDS2.pdf	763374	no	4					
·	Filed (SB/08)		111237 031832.pd1	30632f4dcf05957e6ac41f5232db310a7773 f054		110				
Warnings:										

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PALEARDY Vignin 22313-1450 www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/632,786	12/07/2009	2465	540	IRID-29,789	23	3

25883 HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715

CONFIRMATION NO. 4465 UPDATED FILING RECEIPT



Date Mailed: 04/12/2010

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

KATHY MCEWEN, PLANO, TX;

Power of Attorney: The patent practitioners associated with Customer Number 25883

Domestic Priority data as claimed by applicant

This application is a CON of 11/743,470 05/02/2007 PAT 7,639,612

which claims benefit of 60/796,660 05/02/2006

Foreign Applications

If Required, Foreign Filing License Granted: 12/23/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/632,786**

Projected Publication Date: 07/22/2010

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

page 1 of 3

Title

SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND

Preliminary Class

370

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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NOT GRANTED

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IRID-29,789 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MCEWEN, Kathy

Serial No.: 112/632,786

Confirmation No.: 4465

Filed: December 7, 2009

Group: 2465

Examiner: TO BE ASSIGNED

For: SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON

DEMAND

Mail Stop: Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Enclosed are the following items for filing in the above-referenced U.S. Patent Application:

1. Replacement drawings, 11 sheets, marked "REPLACEMENT SHEETS."

Respectfully submitted, HOWISON & ARNOTT, L.L.P. Attorneys for Applicant(s)

/Timothy F. Bliss Reg. #50925/

Registration No. 50,925

TFB/mep P.O. Box 741715

Dallas, Texas 75374-1715

Tel: 972-479-0462 Fax: 972-479-0464 March 31, 2010

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS SN: 12/632.786

ATTY. DKT. NO. IRID-29,789

Page 1 of 1

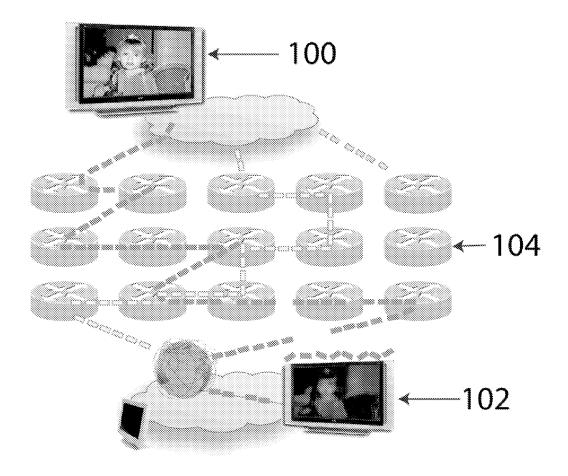


FIG. 1

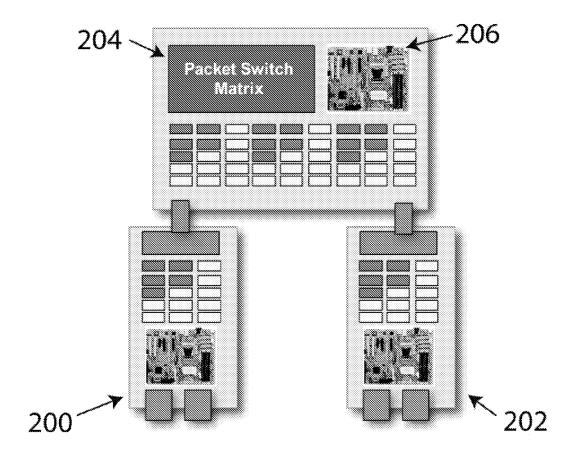
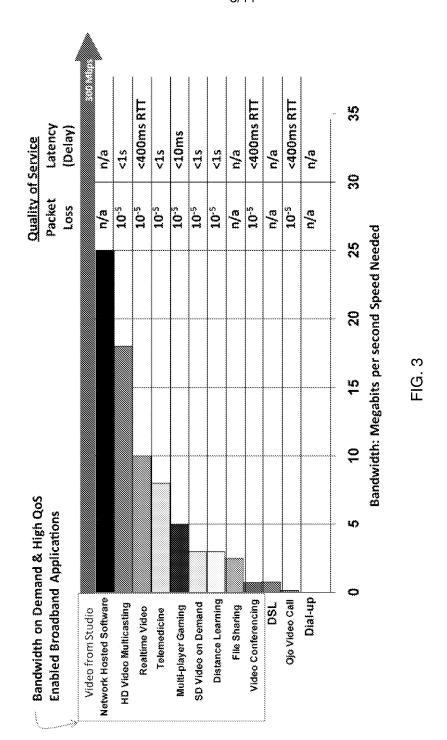


FIG. 2



ERIC-1002 RPX/Ericsson v. Iridescent Page 71 of 141

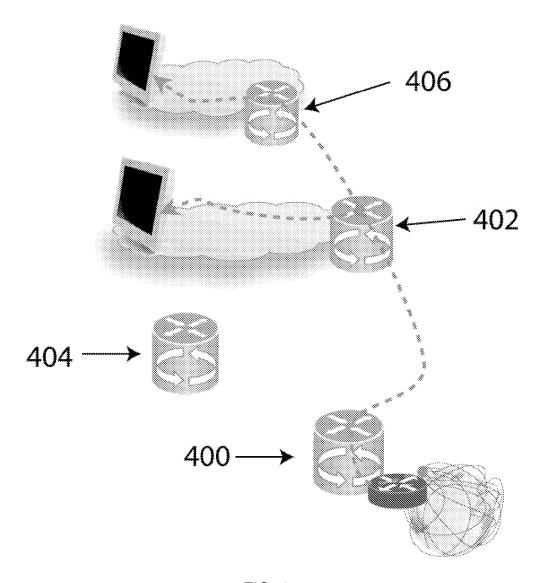


FIG. 4

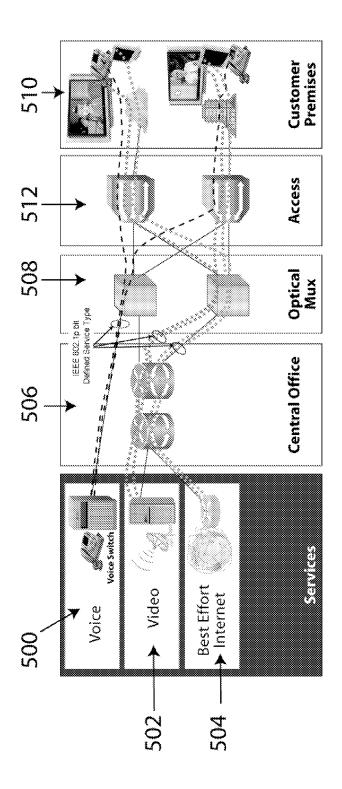


FIG. 5

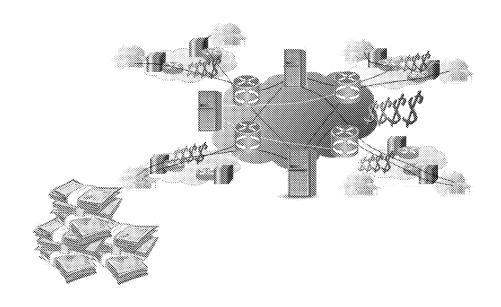
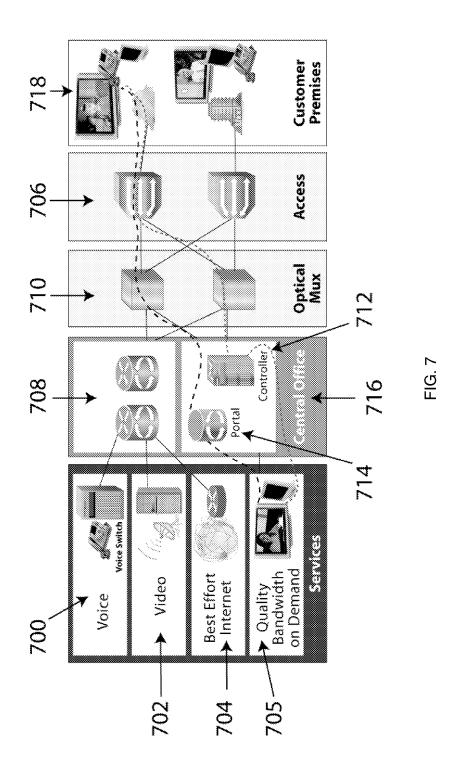


FIG. 6



ERIC-1002 RPX/Ericsson v. Iridescent Page 75 of 141

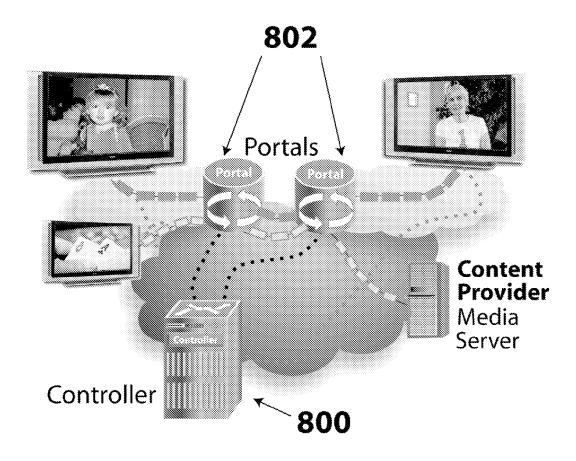


FIG. 8

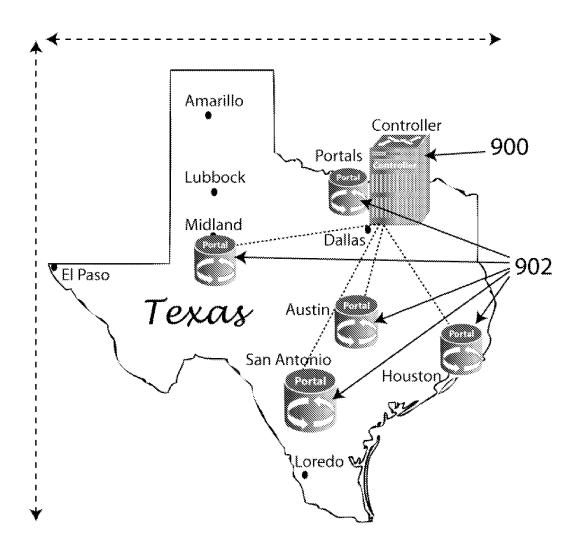


FIG. 9

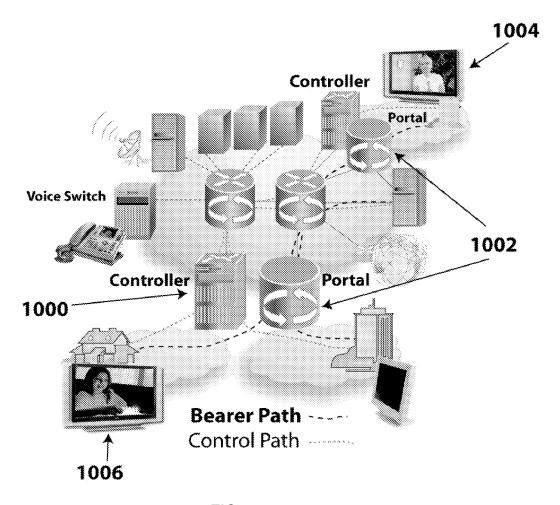
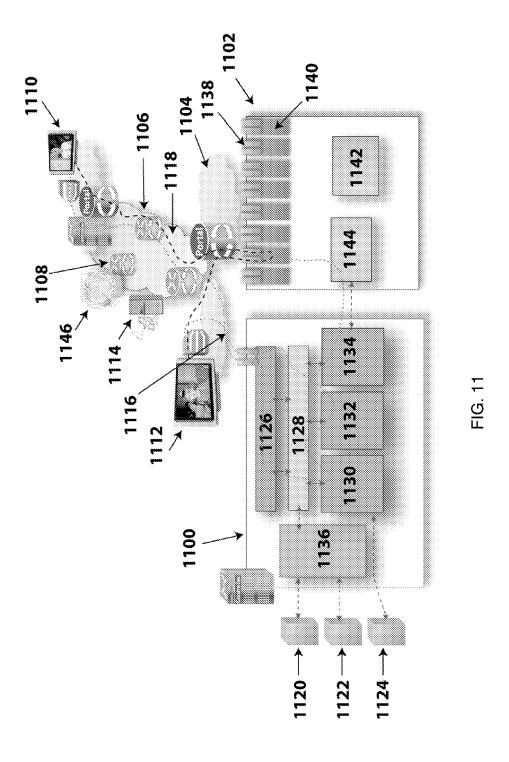


FIG. 10



ERIC-1002 RPX/Ericsson v. Iridescent Page 79 of 141

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PE	TITION	FOR EXTENSION OF TIME UNDER	Docket Number (Option	Docket Number (Optional)		
	(Fees	FY 2009 pursuant to the Consolidated Appropriations Act,	IRID-29,789	IRID-29,789		
Apr	lication l	Number 12/632,786		Filed 2009-12-07		
For	SYS'	TEM AND METHOD OF PROVIDING	BANDWIDTH ON E	DEMAND		
Art	Unit 246	35		Examiner TO BE A	SSIGNED	
	s is a req olication.	uest under the provisions of 37 CFR 1.13	36(a) to extend the peri	od for filing a reply in tl	he above identified	
The	request	ed extension and fee are as follows (chec	k time period desired a	and enter the appropria	ate fee below):	
	_		<u>Fee</u>	Small Entity Fee	. 65	
	~	One month (37 CFR 1.17(a)(1))	\$130	\$65	\$ <u>65</u>	
		Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$	
		Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$	
		Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$	
		Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$	
V	Applica	nt claims small entity status. See 37 CFR	1.27.			
	A chec	k in the amount of the fee is enclosed	J .			
	Payme	ent by credit card. Form PTO-2038 is a	attached.			
	The Di	rector has already been authorized to	charge fees in this a	application to a Depo	osit Account.	
V		rector is hereby authorized to charge it Account Number 20-0780	any fees which may	be required, or cred	lit any overpayment, to	
		NG: Information on this form may become p credit card information and authorization o		nation should not be inc	cluded on this form.	
Ιa	m the	applicant/inventor.				
		assignee of record of the entir				
		attorney or agent of record. Re		•		
		attorney or agent under 37 CF Registration number if acting und				
	/Timoth	ny F. Bliss Reg. #50925/		2010-03-31		
		Signature			Date	
	TIMOT	HY F. BLISS		972-479-046		
	Typed or printed name Telephone Number					
		res of all the inventors or assignees of record of the e uired, see below.	ntire interest or their represer	ntative(s) are required. Subm	it multiple forms if more than one	
V	Total	of forms a	re submitted.			

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
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Electronic Patent A	App	olication Fee	Transm	ittal	
Application Number:	12632786				
Filing Date:	07-	-Dec-2009			
Title of Invention:	SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND				
First Named Inventor/Applicant Name:	КА	THY MCEWEN			
Filer:	Tin	nothy Frank Bliss/Bl	ETH PIEROTTI		
Attorney Docket Number:	Attorney Docket Number: IRID-29,789				
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:				
Extension-of-Time:					
Extension - 1 month with \$0 paid		2251	1	65	65

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)			65

Electronic Acknowledgement Receipt				
EFS ID:	7329420			
Application Number:	12632786			
International Application Number:				
Confirmation Number:	4465			
Title of Invention:	SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND			
First Named Inventor/Applicant Name:	KATHY MCEWEN			
Customer Number:	25883			
Filer:	Timothy Frank Bliss			
Filer Authorized By:				
Attorney Docket Number:	IRID-29,789			
Receipt Date:	31-MAR-2010			
Filing Date:	07-DEC-2009			
Time Stamp:	18:37:05			
Application Type:	Utility under 35 USC 111(a)			
Payment information:	1			
Submitted with Payment	yes			
Payment Type	Deposit Account			
Payment was successfully received in RAM	\$65			
RAM confirmation Number	6792			
Deposit Account	200780			

File Name

Authorized User

File Listing:

Document Description

Document

Number

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Pages (if appl.)

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New Applications Under 35 U.S.C. 111

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National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

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SCORE Placeholder Sheet for IFW Content

Application Number: 12632786 Document Date: 3/31/2010

The presence of this form in the IFW record indicates that the following document type was received in electronic format on the date identified above. This content is stored in the SCORE database.

• Drawings – Other than Black and White Line Drawings

Since this was an electronic submission, there is no physical artifact folder, no artifact folder is recorded in PALM, and no paper documents or physical media exist. The TIFF images in the IFW record were created from the original documents that are stored in SCORE.

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- External customers may access SCORE content via the Public and Private PAIR interfaces.

Form Revision Date: February 8, 2006



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PALEARDY Vignin 22313-1450 www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/632 786	12/07/2009	2465	540	IRID-29 789	23	3

25883 HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715

CONFIRMATION NO. 4465 FILING RECEIPT



Date Mailed: 12/31/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

KATHY MCEWEN, PLANO, TX;

Power of Attorney: The patent practitioners associated with Customer Number 25883

Domestic Priority data as claimed by applicant

This application is a CON of 11/743,470 05/02/2007 PAT 7,639,612

which claims benefit of 60/796,660 05/02/2006

Foreign Applications

If Required, Foreign Filing License Granted: 12/23/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/632,786**

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND

Preliminary Class

370

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APPLICATION NUMBER 12/632,786

FILING OR 371(C) DATE 12/07/2009

FIRST NAMED APPLICANT KATHY MCEWEN

ATTY. DOCKET NO./TITLE IRID-29,789

CONFIRMATION NO. 4465

FORMALITIES LETTER

25883 HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715

OC00000039340024

Date Mailed: 12/31/2009

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121(d) are required. The drawings submitted are not acceptable because:
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 11.
 - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 9,10.
 - The drawings submitted to the Office are not electronically reproducible because portions of figures All are missing and/or blurry.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

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25883 HOWISON & ARNOTT, L.L.P P.O. BOX 741715 DALLAS, TX 75374-1715

CONFIRMATION NO. 4465 POA ACCEPTANCE LETTER



Date Mailed: 12/31/2009

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/07/2009.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/ebekele/

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PTO/SB/08a (07-09)
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	Application Number			
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INFORMATION DISCLOSURE	First Named Inventor Kathy		y McEwen	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under or of R 1.55)	Examiner Name			
	Attorney Docket Number		IRID-29,789	

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	2	5835497	B1	1998-11-01	LITZENBERGER ET AL.	
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	1	20010056578	A1	2001-12-01	HWANG ET AL.	
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	4	20030133552	A1	2003-07	'-01						
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		First Named Inventor	Kathy	McEwen
		Art Unit		
	Examiner Name			
	Attorney Docket Number		IRID-29,789	

	1	Information Sciences Institute, "INTERNET PROTOCOL DARPA INTERNET PROGRAM PROTOCOL SPECIFICATION," prepared for Defense Advanced Research Projects Agency Information Processing Techniques Office, September 1981							
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE APPLICATION FOR UNITED STATES PATENT

SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND

Inventor(s):

Kathy McEwen

Attorneys: Howison & Arnott, L.L.P. P.O. Box 741715 Dallas, Texas 75374-1715

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is a continuation application of U.S. Patent Application Ser. No. 11/743,470, filed May 2, 2007, and entitled SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND, which application claims priority to and benefit of U.S. Provisional Application Ser. No. 60/796,660, filed May 2, 2006, and entitled IMPROVED SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND, both by inventor Kathy McEwen, the specifications of which are incorporated herein in their entireties.

BACKGROUND

[0002] The present invention generally relates to communications systems, and specifically

to an improved system and method of providing guaranteed bandwidth on demand for an end

user and/or enterprise.

[0003] Internet protocol (IP) networks were designed to handle any traffic, on any port, at

any time. The goal was to utilize as many computing platforms as were available across a

consortium of universities, governments and industries willing to share information (Reference

IETF RFC 791 Internet Protocol Darpa Internet Program Protocol Specification, September

1981).

[0004] With these goals in mind, each of the computing platforms, or routers, were originally

designed to be ad-hoc in nature. That is, they broadcast on each of their ports, the routing and

cost to send a packet to itself. Each manufacturer of these routers defined their own concept of

cost and its associated value. As a result of IP's original design goals, the path that a packet takes

from origin to destination is completely unpredictable. In the example in FIG. 1, a user is

attempting to send IP video packets streaming from a source 100 to a destination 102, but the

originator can not predict nor control how those packets will be transported across an IP network

104, nor can the originator even assume that all the packets streamed through the network 104

will take the same path to reach the destination 102. An IP router can not plan how a packet (or

stream of packets) will reach its destination, nor can routers plan how many other routers will

transmit the packet. It takes, on average, anywhere from 10 to 20 or more routers to send a

packet across the internet today.

[0005] Now referring to FIG. 2, every router stops each incoming packet to determine

whether it is allowed, its class of service, how to route it, and then, because they are processing

so many unpredictable packet sizes/rates, they must queue the packets at both the ingress 200

and egress 202 ports, and possibly even at the internal switching matrix 204. A typical IP router

architecture includes packet switching matrices 204, intelligent routing processors 206, and large

memory queues at the ingress 200 (incoming) and egress 202 (outgoing) ports, as well as at a

centralized interconnect level to move packets from one ingress port card to a different egress

port card. With so much queuing and processing on each packet, packets may be lost or delayed beyond video services quality tolerance.

[0006] The services that may be delivered on broadband are many, ranging from real-time critical applications for communication purposes: video calling, multi-player gaming, telemedicine, television studio broadcast interviews, and high-definition news multicasting to name a few. These examples and a few others are listed in FIG. 3. These real time critical applications are very sensitive to any delay and for any that may include video or gaming frames, very sensitive to any variance in the delay. Applications which include video are also sensitive to any packets (or frames) which may be lost in the transmission (0.0001% packet loss is the preferred quality for video transmission).

[0007] Multi-Protocol Label Switching (MPLS) was developed to overcome some of the traffic engineering constraints of the IP protocols. MPLS allows operators to engineer a core network that aggregates traffic from IP, ATM, Frame Relay or even time-division voice domains, across a common packet core network. MPLS network operators can pre-define label switch paths, and ensure that virtual private network traffic is delivered on specific routes to achieve guaranteed quality of service levels (See IETF RFC 2702, Requirements for Traffic Engineering over MPLS).

[0008] MPLS standards have expanded to include point-to-multipoint multicasting (Reference IETF 4461: Signaling Requirements for Point-to-Multipoint Traffic-Engineered MPLS Label Switched Paths (LSPs)), and resource reservation protocols (Reference IETF RFC 3209, RSVP-TE: Extensions to RSVP for LSP Tunnels and RFC 4420) that dynamically utilize bandwidth across the core thus enabling less expensive transport for video broadcast traffic. The multicasting protocol enables construction of a distribution tree that replicates packets only at the branch points, rather than from the origination point. Now referring to FIG. 4, a stream of packets can begin at a single source point in the IP domain, and traverse across an MPLS packet network starting at a point 400, following a controlled path to a specific router at point 402, bypassing any un-necessary MPLS routers like point 404. The Originating MPLS Router can utilize the point-to-multipoint multicasting capabilities of MPLS, to instruct MPLS Router 402 to multicast the traffic to another user connected to MPLS Router 406. MPLS also expanded to

include a Fast-Reroute method, which allows for a 50 millisecond route recovery in the event of a link failure, comparable to that of optical SONET networks. These attributes make MPLS the technology of choice for core network video transport today.

[0009] However, MPLS does not readily extend to the customer premises locations, as its focus has been on core packet transport aggregation, enabling controlled routing and quality of assurance through the packet transport. Also, MPLS was developed around the concept of delivering enterprise virtual private networking; thus much of the protocols and methods of packet quality assurance in MPLS require the utilization of a virtual Local Area Network (LAN).

[0010] Although IP Multimedia Subsystem (IMS) standard protocols evolved to try to address handling real-time multimedia streams across the IP packet domain, these standards have largely focused on enabling the streaming services as an overlay solution across existing IP network domains, without addressing any changes to the IP or MPLS routing architectures. Quality assurance requires managing the services end to end, from customer access point to access point. In addition, IMS standards were intended to be access agnostic, so the customer premises access point standards have been separately handled by various wireless (CDMA, GSM, UMTS, WiFi, WiMax, etc.) and wireline (Cable, DSL and Fiber, etc.) access standards.

[0011] Recently, focus for broadband applications has moved away from IMS to an evolution of these protocols within the 3GPP organization called TISPAN (Telecommunications & Internet Converged Services and Protocols for Advanced Networking). TISPAN intends to include methods for handling resource allocation and quality assurance, but again does not address the elements that sit within the customer premises to network access domain, leaving those up to the other standards bodies governing the various access types.

[0012] For the current broadband services deployments taking place, broadband network operators are utilizing mechanisms like the IEEE 802.1p bit marking to differentiate the service classes, and route traffic accordingly. Now referring to FIG. 5, the current services, comprising legacy public switched voice 500, video 502 and best-effort internet 504 access are served by existing network components, interconnected to the access networks via ATM, IP or IP/MPLS routers 506 and/or optical multiplexing solutions 508. Consumers and/or enterprises 510 connect

via an access network 512, broadband or narrowband, to the services domain through access

network equipment such as DSL Access Multiplexors (DSLAMs), Fiber Optic Access (such as

Optical Line Terminals-OLTs) and various other access technologies. Services are delivered with

assurance by interconnecting to the consumers via the broadband access network utilizing

technologies such as IEEE 802.1 p bit defined service types. There are 8 p bits to differentiate

service type--thus only 8 service classes. This is insufficient to cover a multitude of service

offerings that may all require high quality broadband connections.

[0013] Today, the only quality video transport with assurance that operators can use are

dedicated line, virtual private networking services. Each new service that requires a high quality

packet transport requires a separate virtual private network. This does not allow for dynamic

bandwidth allocation and utilization--thus it does not economically scale across multiple services

or across multiple users. An example of is illustrated in FIG. 6.

[0014] Video transmission requires compression in order to effectively utilize the available

broadband bandwidth across packet domains. Currently there are numerous different methods for

encoding the video, some standardized and some are proprietary. Many existing video

communication solutions today utilize proprietary mechanisms, which are incompatible across

multi-vendor and access domains. Additionally, the video compression methods vary greatly in

the bandwidth they require to transport the video in real-time--some solutions are as low as 64

kbps up to 300 Mbps. The bandwidth required can vary based on the codec type and the quality

type compressed within the codec type. For example, MPEG-4 (Motion Picture Experts Group-

4) defines methods to combine and encode video with sound and text, including the encoding of

Standard Definition and High Definition.

[0015] Therefore, what is needed is an improved method and system of delivering

guaranteed high bandwidth applications to an end user and/or enterprise end to end.

SUMMARY

[0016]In one embodiment, the present disclosure provides a method for providing bandwidth on demand. The method comprises receiving, by a controller positioned in a network, a request for a high quality of service connection supporting any one of a plurality of one-way and two-way traffic types between an originating end-point and a terminating end-point, wherein the request comes from the originating end-point and includes at least one of a requested amount of bandwidth and a codec; determining, by the controller, whether the originating end-point is authorized to use the requested amount of bandwidth or the codec and whether the terminating end-point can be reached by the controller; directing, by the controller, a portal that is positioned in the network and physically separate from the controller to allocate local port resources of the portal for the connection; negotiating, by the controller, to reserve far-end resources for the terminating end-point; and providing, by the controller to the portal, routing instructions for traffic corresponding to the connection so that the traffic is directed by the portal based only on the routing instructions provided by the controller, wherein the portal does not perform any independent routing on the traffic, and wherein the connection extending from the originating end-point to the terminating end-point is provided by a dedicated bearer path that includes a required route supported by the portal and dynamically provisioned by the controller, and wherein control paths for the connection are supported only between each of the originating and terminating end-points and the controller and between the portal and the controller.

BRIEF DESCRIPTION OF THE DRAWINGS

[0017] For a more complete understanding, reference is now made to the following description taken in conjunction with the accompanying Drawings in which:

- [0018] FIG. 1 is a diagram representing IP Routing In-efficiencies;
- [0019] FIG. 2 is a diagram of a typical IP Router Architecture;
- [0020] FIG. 3 is a diagram of Sample Broadband Applications Quality Requirements;
- [0021] FIG. 4 is a diagram of MPLS Traffic Engineering and Point to Multipoint Multi casting;
- [0022] FIG. 5 is a diagram of a Broadband Access Network;
- [0023] FIG. 6 is a diagram of Multiple Services Offered with Quality across Broadband Network Domains;
- [0024] FIG. 7 is a diagram of a Controller and Portal Solution in the Access Network;
- [0025] FIG. 8 is a diagram of a Controller and Portal Solution;
- [0026] FIG. 9 is a diagram of a Controller and Portal Distributed Deployment;
- [0027] FIG. 10 is a diagram of a Controller and Portal End-to-End Network Solution; and
- [0028] FIG. 11 is a diagram of a Controller and Portal Architectural Solution.

DETAILED DESCRIPTION

[0029] The present disclosure can be described by the embodiments given below. It is understood, however, that the embodiments below are not necessarily limitations to the present

disclosure, but are used to describe a typical implementation of the invention.

[0030] The present invention provides an improved unique system and method of providing

bandwidth on demand for an end user and/or enterprise. It is understood, however, that the

following disclosure provides many different embodiments, or examples, for implementing

different features of the invention. Specific examples of components, signals, messages,

protocols, and arrangements are described below to simplify the present disclosure. These are, of

course, merely examples and are not intended to limit the invention from that described in the

claims. Well known elements are presented without detailed description in order not to obscure

the present invention in unnecessary detail. For the most part, details unnecessary to obtain a

complete understanding of the present invention have been omitted inasmuch as such details are

within the skills of persons of ordinary skill in the relevant art. Details regarding control circuitry

described herein are omitted, as such control circuits are within the skills of persons of ordinary

skill in the relevant art.

[0031] The invention involves taking a distributed approach to handling bearer packets, with

a physically separated controller and managed portal platform. The Controller handles signaling,

routing, dynamic bandwidth admission control, codec (video and/or voice) negotiation, end-to-

end quality assurance, session management, subscriber data, billing, provisioning and associated

operational functions. The Portal handles the packet bearer transport with the admission control

and routing instructions given by the separate physical Controller. The invention fits at the

access and/or in the core network. Connections can be made between consumers, enterprises

and/or content providers. For example, consumer to business, business to consumer, consumer to

consumer, business to business, consumer to content provider, business to content provider,

content provider to consumer, content provider to business, and content provider to content

provider.

[0032] Now referring to FIG. 7, the current services, comprising legacy public switched

voice 700, video 702 and best-effort internet access 704 will continue to be served by the

existing network components, interconnected to the access networks 706 as they are today via

ATM, IP or IP/MPLS routers 708 and optical multiplexing solutions 710. The Controller 712 and

Portal 714 are introduced at the central office 716, in similar locations as edge IP/MPLS

aggregation routers 708. The Controller 712 and Portal 714 delivers high quality bandwidth on

demand services 705. For example, video and gaming applications, can interconnect to the

consumers 718 via the access network 706.

[0033] The Controller 712 accepts requests from an originating end-point to access the

network with a high quality connection dynamically. The Controller 712 then negotiates across

the network with the terminating end-point(s) to set up the connection, and ensures

interoperability of service type (if used) and video codec type, and quality bandwidth reservation

end-to-end.

[0034] Instead of trying to introduce a new class of service type for each additional high

quality service and content provider at the access edge (See FIG. 6), one class of service type is

introduced to cover all high quality services (See FIG. 7). Then all traffic requesting this service

type is routed to an access Controller 712 and 714 Portal for handling. Alternatively, if the

broadband access provider does not want to provision a specific class of service for the

Controller and Portal for handling, a consumer may signal directly to the Controller and Portal.

[0035] Now referring to FIG. 8, when one dynamic video or bandwidth user wants to

connect to another, they simply dial a directory number or IP address or web page to request a

connection on demand. The Controller 800 will receive the request, including bandwidth

required and if video, a video codec type and a service type tag (if applicable) for billing

purposes, and determine from its embedded subscriber database whether the user is authorized to

use the bandwidth, video type and service or not, how to bill them, and whether the destination

party can be reached.

[0036] The Controller 800 and Portal 802 are interconnected to each other and to content

providers. The Controller 800 and Portal 802 also interconnect consumers, businesses and/or

content providers. The control signaling connects using protocols directly to consumers, businesses, and/or content providers. The bearer between consumers, businesses, and/or content

providers is connected through the Portal platforms 802.

[0037] In order to ensure quality, the Controller 800 inter-works with network protocols to

dynamically provision a dedicated path, including required route and bandwidth, on demand

through the network. The Controller 800 directs its associated Portal platform 802 to allocate

local port resources, and then signals any destination party's Controller to reserve far-end

resources.

[0038] The Controller 800 enables each bandwidth on demand user, originator and

terminator, to negotiate with the network. The negotiation includes information elements

necessary to ensure an end-to-end video connection free from video codec conversion in the core

if possible. This avoids interoperability issues between user systems, and enables all application

end-points to communicate freely.

[0039] Now referring to FIG. 9, the Controller 900 and Portals 1102 can be physically

located in the same location or in separate locations. The Controller 900 communicates and

controls the portals 1102 via a link--the distance from the Controller 900 to the Portals 1102 can

be close or very far. This allows network owners to optimize transmission utilization to keep

high bandwidth traffic closest to the user, while centralizing routing, maintenance, operations

and control functions in a single regional location.

[0040] The invention takes distributed switching control concepts from the low-bandwidth

voice domain, and extends them to the variable-bandwidth packet routing domain. Moreover, the

Portal 902 is under the direct management of the Controller 900. It only accepts traffic on its

ports when authorized by the Controller 900 in real-time, and notifies the Controller 900 if a

user's traffic terminates or exceeds allowance. The Portal 902 does not perform new routing on

any packet, and only acts on the information provided by the controller 900. If any packets are

received on any port at the Portal 902, which are arriving from a user that has not been

authorized to use it, then those packets are discarded without prejudice. If an authorized user

should exceed the limit authorized, the Controller 900 is informed, and an alarm is raised. The

Controller 900 determines whether the user who is exceeding their limit should be disconnected, or allowed to continue, and instructs the Portal 902 according to a pre-set time limit. The Controller 900 contains a completely integrated bandwidth/portal admission control, routing and element management solution, which tracks, manages, and bills for all usage (Controller 900 plus its subordinate Portals 902). Furthermore, the maximum limit of Portals 902 to Controller 900 is determined based on the aggregate subscriber usage capacity across all Portals 900.

[0041]Now referring to FIG. 10, the Controller 1000 and Portals 1002 serve the access networks at the access locations, which are near consumers, businesses, and/or near to content providers. The Controller 1000 and Portal 1002 interconnect to each other and any other platforms, which could be via existing IP/MPLS routers or multiplexing equipment or other transport connection mechanisms. The consumers 1004, 1006 are connected directly to the Controller 1000 and Portal 1002 across the access. Content providers, back-office provisioning, billing and element management systems interconnect to the Controller 1000 and Portals 1002. The best-effort internet is bypassed completely for any high quality broadband connections. In addition, all provisioning, element management and routing is managed at the Controller 1000, and is visible via a remote connection. Furthermore, the Controller supports flexible charging arrangements that can be based on any combination of or single element of service type, time elapsed, codec type and bandwidth used on the network; and this can be billed for either after the session has terminated, or in real-time through a pre-paid billing mechanism which allows for termination of the session at any time based on available credit(s). Originating and terminating party records are issued, or both, including information about route used for transport charging purposes. If users are connecting across regions, states, nations or carriers, the information is recorded for billing purposes.

[0042] Now referring to FIG. 11, a Controller 1100 and Portal 1102 serve the access networks at the access locations 1104. The Controller 1100 and Portal 1102 interconnect to each other and any other platforms 1106, which could be via existing IP/MPLS routers 1108 and/or multiplexing equipment and/or any other transport mechanisms. In addition, the consumers 1110, businesses 1112 and or content providers 1114 are connected, for control signaling via path 1116 and via path 1118 for bearer path, directly to the Controller 1100 and Portal 1102 across the

access domain. The Controller 1100 includes I/O ports 1120, 1122, and 1124 connecting a signaling/security function 1126 to a message distribution function 1128 that handles distributing all control signaling to the subscriber data function 1130, session management function 1132, routing/bandwidth admission and quality assurance management function 1134, and handles all functions including billing/OA&M 1136, necessary for the broadband services to be dynamically connected and managed with quality. The Portal 1102 includes I/O ports 1138 on line cards 1140 for the bearer connections, a switching matrix 1142 and a portal connectivity processing element 1144. The content services 1114 interconnects to the Controller 1100 and Portal 1102. The back-office provisioning, billing and element management systems 1132 interconnect to the Controller 1100 and Portal 1102. The best-effort internet 1146 is bypassed completely for any high quality broadband connections.

[0043] The previous description of the disclosed embodiments is provided to enable those skilled in the art to make or use the present invention. Various modifications to these embodiments will be readily apparent to those skilled in the art and generic principles defined herein may be applied to other embodiments without departing from the spirit or scope of the invention. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

WHAT IS CLAIMED IS:

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1. A method for providing bandwidth on demand comprising:

receiving, by a controller positioned in a network, a request for a high quality of service connection supporting any one of a plurality of one-way and two-way traffic types between an originating end-point and a terminating end-point, wherein the request comes from the originating end-point and includes at least one of a requested amount of bandwidth and a codec;

determining, by the controller, whether the originating end-point is authorized to use the requested amount of bandwidth or the codec and whether the terminating end-point can be reached by the controller;

directing, by the controller, a portal that is positioned in the network and physically separate from the controller to allocate local port resources of the portal for the connection;

negotiating, by the controller, to reserve far-end resources for the terminating end-point; and

providing, by the controller to the portal, routing instructions for traffic corresponding to the connection so that the traffic is directed by the portal based only on the routing instructions provided by the controller, wherein the portal does not perform any independent routing on the traffic, and wherein the connection extending from the originating end-point to the terminating end-point is provided by a dedicated bearer path that includes a required route supported by the portal and dynamically provisioned by the controller, and wherein control paths for the connection are supported only between each of the originating and terminating end-points and the controller and between the portal and the controller.

2. The method of claim 1 further comprising:

receiving, by the controller, a notification from the portal that traffic on the connection has exceeded an authorized limit;

determining, by the controller, whether the connection should be terminated or allowed to continue; and

instructing the portal, by the controller, whether to terminate or allow the connection to continue based on the step of determining.

3. The method of claim 2 wherein, if the connection is allowed to continue, the instructing

includes a pre-set time limit.

4. The method of claim 1 wherein the controller is associated with a single class of service

and wherein a service type of the request identifies the request as being of the single class of

service and the request is routed to the controller based on the service type.

5. The method of claim 1 wherein the request is received by the controller based on

signaling from a user to the controller.

6. The method of claim 5 wherein the request is received from the user via one of a

directory request, an Internet Protocol address, and a web page.

7. The method of claim 1 further comprising:

identifying, by the controller, billing information of a user corresponding to the request

for a high quality of service connection; and

charging the user for the connection.

8. The method of claim 7 wherein the charging may be based on at least one of a service

type, an elapsed period of time, a codec type, and an amount of bandwidth used.

9. The method of claim 1 wherein determining whether the originating end-point is

authorized is based on information in a subscriber database.

10. The method of claim 1 wherein the negotiating, by the controller, to reserve far-end

resources on the terminating end-point includes negotiating with another controller associated

with the terminating end-point.

11. The method of claim 1 wherein the negotiating, by the controller, to reserve far-end

resources for the terminating end-point includes negotiating directly with the terminating end-

point.

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12. The method of claim 1 wherein the negotiating, by the controller, to reserve far-end resources for the terminating end-point includes negotiating a video codec for use with the

connection to avoid video codec conversion between the originating and terminating end-points.

13. The method of claim 1 wherein the connection is a point-to-point connection between

only the originating and terminating end-points.

14. The method of claim 1 wherein the connection is a point-to-multipoint connection

between one of the originating and terminating end-points and the other of the originating and

terminating end-points and at least one other end-point.

15. A method for providing bandwidth on demand comprising:

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receiving, by a portal in a network from a physically separate controller in the network via a control path, instructions to allocate local port resources for a high quality of service connection, wherein the instructions include real-time authorization of a user for the connection;

receiving, by the portal from the controller, routing information for traffic corresponding to the connection, wherein the routing information identifies a required route and wherein the portal does not perform additional routing;

routing, by the portal, traffic corresponding to the connection based on the routing instructions;

identifying, by the portal, that the traffic corresponding to the connection has exceeded an allowed amount of traffic;

notifying the controller, by the portal, of the exceeded allowed amount of traffic; and receiving, by the portal from the controller, an instruction on handling the allowed amount of traffic that has been exceeded.

- 16. The method of claim 15 further comprising terminating the connection, by the portal, based on the instruction received from the controller.
- 17. The method of claim 15 further comprising allowing the connection to continue until a pre-set time limit is reached based on the instruction received from the controller.
- 18. The method of claim 15 further comprising discarding, by the portal, any received packets corresponding to a user not authorized by the controller.
- 19. The method of claim 15 further comprising notifying the controller, by the portal, if traffic corresponding to the connection is no longer received.

20. A method for providing bandwidth on demand comprising:

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receiving, by a controller positioned in a network, a request for a high quality of service connection between an originating end-point and a terminating end-point, wherein the request includes at least one of a requested amount of bandwidth and a video codec;

determining, by the controller, whether the originating end-point is authorized to use the requested amount of bandwidth or the video codec;

communicating, by the controller, with the originating and terminating end-points to ensure that the connection is free from video codec conversion;

directing, by the controller, one of a plurality of portals that is positioned in the network nearest to the originating end-point and physically separate from the controller to allocate local port resources of the portal for the connection; and

sending, by the controller to the portal, routing instructions for the connection, wherein traffic for the connection is routed by the portal based only on the routing instructions, and wherein the connection extending from the originating end-point to the terminating end-point is provided by a dedicated bearer path that includes a required route supported by the portal and dynamically provisioned by the controller, and wherein control paths for the connection are supported between each of the originating and terminating end-points and the controller and between the portal and the controller.

- 21. The method of claim 20 further comprising negotiating, by the controller, to reserve farend resources on the terminating end-point.
- 22. The method of claim 21 wherein the negotiating is performed with one of another controller associated with the terminating end-point or directly with the terminating end-point.
- 23. The method of claim 20 further comprising:

receiving, by the controller, a notification from the portal that traffic on the connection has exceeded an authorized limit; and

instructing the portal, by the controller, whether to terminate or allow the connection to continue.

ABSTRACT

An improved system and method of providing bandwidth on demand for an end user and/or enterprise is disclosed. In one example, the method includes receiving, by a controller positioned in a network, a request for a high quality of service connection supporting any one of a plurality of one-way and two-way traffic types between an originating end-point and a terminating end-point. The controller determines whether the originating end-point is authorized to use the requested amount of bandwidth or the codec and whether the terminating end-point can be reached by the controller. The controller directs a portal that is positioned in the network and physically separate from the controller to allocate local port resources and negotiates to reserve far-end resources for the terminating end-point. The controller also provides routing instructions to the portal.

Electronic Patent Application Fee Transmittal								
Application Number:								
Filing Date:								
Title of Invention:	SYSTEM AN	D METHOI	D OF PROVIDING	S BANDWIDTH ON	DEMAND			
First Named Inventor/Applicant Name:	Kathy McEw	ren .						
Filer:	Timothy Fra	nk Bliss						
Attorney Docket Number:	IRID-29,789							
Filed as Small Entity								
Utility under 35 USC 111(a) Filing Fees								
Description	Fee	: Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Utility filing Fee (Electronic filing)	2	1011	1	82	82			
Utility Search Fee		2111	1	270	270			
Utility Examination Fee		2311	1	110	110			
Pages:								
Claims:								
Claims in excess of 20		2202	3	26	78			
Miscellaneous-Filing:								
Petition:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	540

Electronic Acknowledgement Receipt						
EFS ID:	6555302					
Application Number:	12632786					
International Application Number:						
Confirmation Number:	4465					
Title of Invention:	SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND					
First Named Inventor/Applicant Name:	Kathy McEwen					
Customer Number:	25883					
Filer:	Timothy Frank Bliss					
Filer Authorized By:						
Attorney Docket Number:	IRID-29,789					
Receipt Date:	07-DEC-2009					
Filing Date:						
Time Stamp:	23:07:15					
Application Type:	Utility under 35 USC 111(a)					
Payment information:	1					
Submitted with Payment	yes					
Payment Type	Deposit Account					
Payment was successfully received in RAM	\$540					
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Application Data Sk	2001 27 CED 1 76	Attorney Docket Number	torney Docket Number IRID-29,789							
Application Data Sh	ieet 37 CFK 1.76	Application Number								
Title of Invention SYS	TEM AND METHOD OF	PROVIDING BANDWIDTH ON	DEMAND							
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Request Early Publi	cation (Fee required a	t time of Request 37 CFR 1.2	219)							
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.										
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Mailing Address I	nforma	tion:		•		
Address 1						
Address 2						
City			Sta	te/Provi	псе	
Country ⁱ			Pos	stal Code		
Phone Number			Fax	Number		
Email Address			1		'	
Additional Assigne button.	e Data	may be generated w	vithin this form by s	electing	the Add	Add
Signature:						
A signature of the CFR 1.4(d) for the		•	required in accorda	ance with	37 CFR 1.33 a	and 10.18. Please see 37

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.									
Signature	/Timothy F. Bliss Reg	. #50925/	Date (YYYY-MM-DD)	2009-12-02					
First Name	TIMOTHY	Last Name	BLISS	Registration Number	50925				

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and
 the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether
 the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an
 individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of
 the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

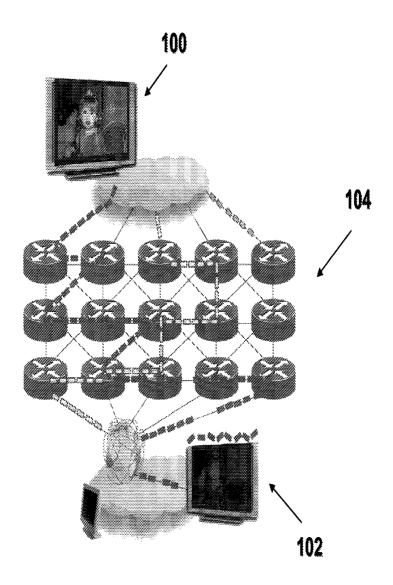


Figure 1

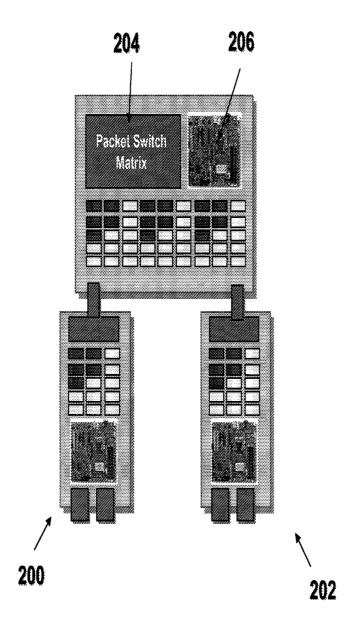


Figure 2

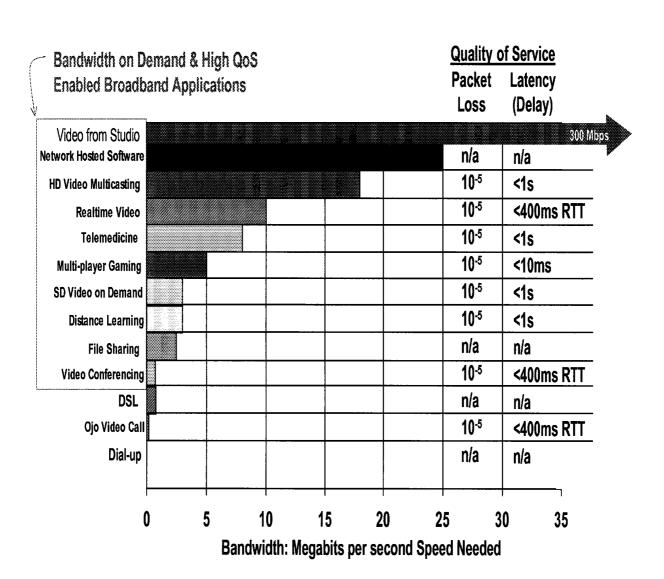


Figure 3

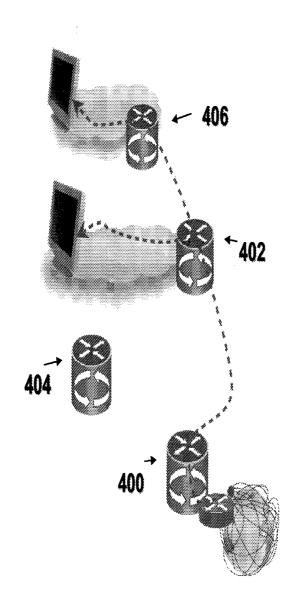


Figure 4

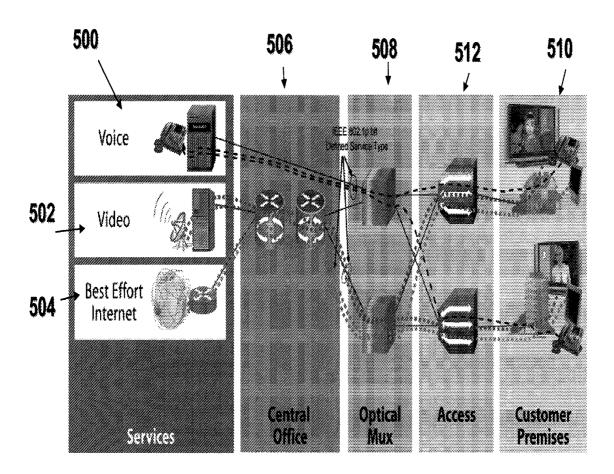
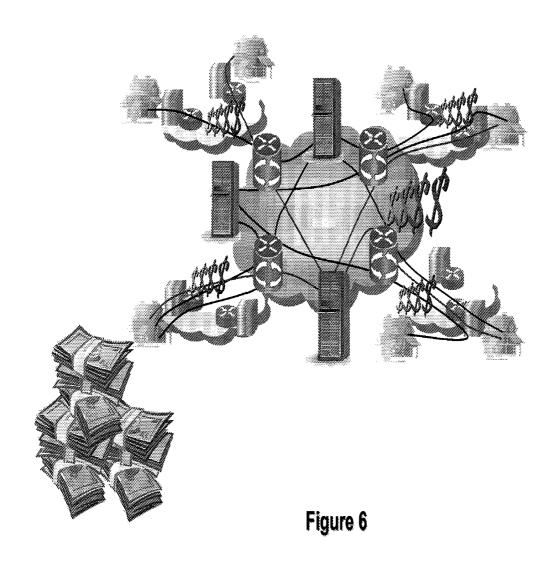
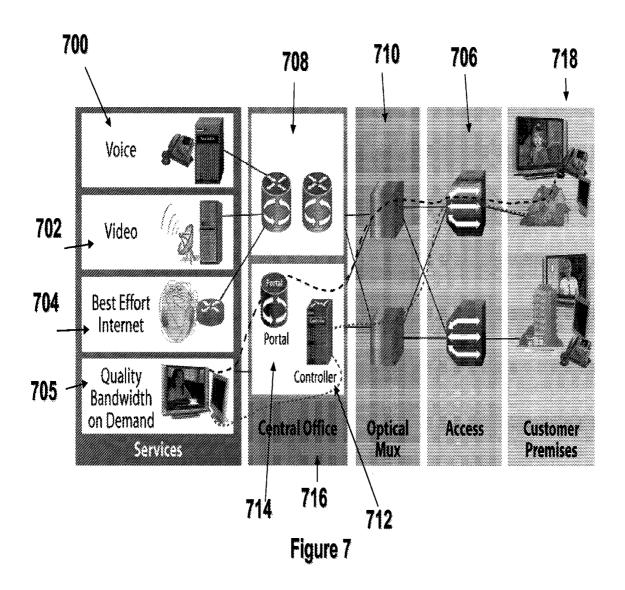


Figure 5





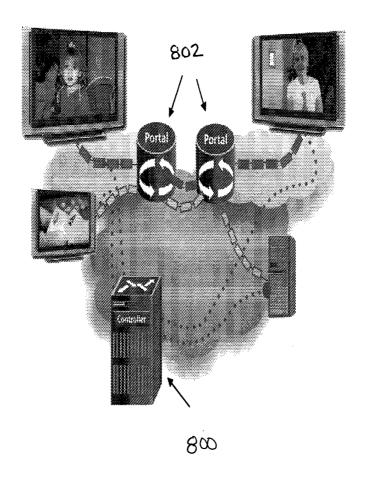


Figure 8

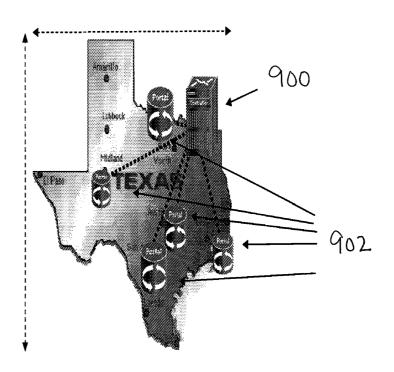


Figure 9

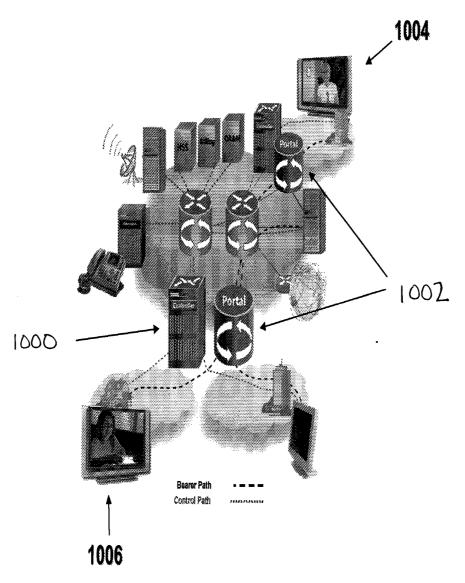


Figure 10

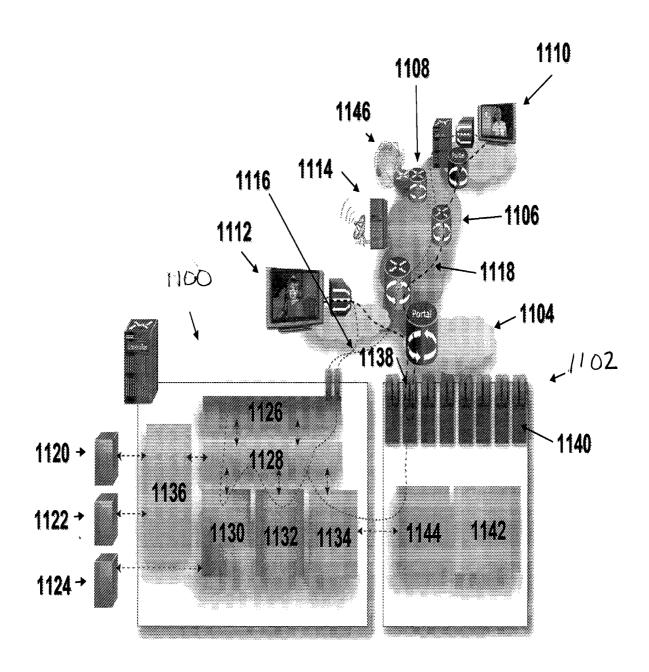


Figure 11

Atty. Docket No.: 684534-1002

DECLARATION FOR PATENT APPLICATION, POWER OF ATTORNEY & DESIGNATION OF CORRESPONDENCE ADDRESS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled:

IMPROVED SYSTEM AND METHOD OF PROVIDING BANDWIDTH ON DEMAND

the	specification of which (check one):	
X	is attached hereto.	
	was filed on	as U.S. or PCT Serial No.
	and was amended on	(if applicable). I understand that the filing date and/or
	serial number may be unknown at th	e time this document is executed and that the attorney of record may
	complete this information on my bel	nalf before filing.
37 (the	I acknowledge the duty to disclos CFR §1.56, including for continuation	d and understand the contents of the above-identified specification including nt referred to above. se information that is material to patentability as defined in accordance with n-in-part applications, material information which became available between nd the national or PCT international filing date of the continuation-in-part

PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)

I hereby claim foreign priority benefits under 35 U.S.C. §119 or 365(b) of any foreign application(s) for patent or inventor's or plant breeder's rights certificate(s), 365(a) of any PCT International application which designed at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's or plant breeder's rights certificate(s), or any PCT International application having a filing date before that of the application on which priority is claimed:

	Prior Foreign Application(s)					
Number	Country	Day/Month/Year Filed	Yes	No		
		·				

Atty. Docket No.: 684534-1002

I hereby declare that all statements made herein on my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under 18 USC §1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of First invento	or: Kathy McEwen		
Inventor's Signature:	200 04	Date:	
Ha	thy // (Con	April 9, 2009	· · · · · · · · · · · · · · · · · · ·
Residence:	7809 LaGuardia Drive,	Plano, TX 75025	
Citizenship:	United States of Americ		
Post Office Address:	Same as above		
	·	,	
Full Name of Second Inve	ntor:		
Inventor's Signature:		Date:	
Residence:			
Citizenship:			
Post Office Address:			

Atty. Docket No.: 684534-1002

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date	
60/796,660	5/2/2006	

CLAIM FOR BENEFIT OF EARLIER US/PCT APPLICATION(S) **UNDER 35 U.S.C. § 120**

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or § 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

Application No.	Filing Date	Patented, Pending, Abandoned

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute Power of Attorney: this application and transact all business in the United States Patent & Trademark Office connected therewith under:

> Customer No.: 85043

jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent(s), to transact all business in the United States Patent and Trademark Office connected therewith and to act on my behalf before the competent International Authorities in connection with any and all international applications filed.

Send Correspondence to:

The address associated with Customer No. 85043, currently:

Firm Name:	DELEON LAW GROUP PC		
Address:	100 Crescent Court, Suite 700 Dallas, TX 75201		
Facsimile:	(214) 459-3101		
Direct Telephone Calls to (name and telephone number): Ruben C. DeLeon at 214-459-3424			

Page 2 of 3

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PTO/SB/81 (01-09)
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POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY CHANGE OF CORRESPONDENCE ADDRESS

Application Number	11/743,470
Filing Date	2007-05-02
First Named Inventor	KATHY MCEWEN
Title	SYSTEM AND METHOD OF PROVIDIN
Art Unit	2465
Examiner Name	HOOMAN HOUSHMAND
Attorney Docket Number	IRID-29.481

	Attorney Docket NE	IND-2	29,481			
I hereby revoke all previous powers of attorney given in the above-identified application.						
A Power of Attorney is submitted herewith.						
OR I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:		25883				
OR I hereby appoint Practitioner(s) named below as my/our at to transact all business in the United States Petent and Tr	ttorney(s) or agent(s) to addemark Office connected	prosecute the ap ad therewith:	oplication identified above, and			
Practitioner(s) Name		Registration Number				
Please recognize or change the correspondence address for the above-identified application to: The address associated with the above-mentioned Customer Number.						
OR			7			
1						
The address associated with Customer Number: OR						
Firm or Individual Name						
Address						
City	State		Zip			
Country Telephone	Email					
I am the:						
Applicant/Inventor.						
Assignee of record of the entire interest, See 37 CFR 3.71. Statement under 37 CFR 3.73(b) (Form PTO/SB/98) subm						
SIGNATURE of App	olicant or Assignee of I	Record				
Signature all atlum		Date	Oct. 28, 2009			
Name KATHY MCEWEN		Telephone	469-231-6778			
Title and Company CEO Iridescent Networks						
NOTE: Signatures of all the inventors or sasigness of record of the entire interest or their representative(a) are required. Submit multiple forms if more than one signature is required, see below.						
Total of 1 forms are submitted.						

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to examplete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in complating the form, call 1-800-PTO-9199 and select option 2.

Filing Date: 12/07/09 Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PATENT APPLICATION FEE DETERMINATION RECORD Application or Docket Number 12/632,786 Substitute for Form PTO-875 APPLICATION AS FILED - PART ! OTHER THAN (Column 2) OR (Column 1) SMALL ENTITY SMALL ENTITY NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) FOR BASIC FEE N/A N/A N/A 82 N/A (37 CFR 1.16(a), (b), or (c)) SEARCH FEE N/A N/A N/A 270 N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A -110 N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS 23 3 x\$26 78 x\$52 minus 20 OR (37 CFR 1.16(i)) INDEPENDENT CLAIMS 3 x\$110 x\$220 (37 CFR 1.16(h)) minus 3 If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is \$270 (\$135 for small entity) for each additional FEE 50 sheets or fraction thereof. See (37 CFR 1.16(s)) 35 U.S.C. 41(a)(1)(G) and 37 CFR 195 390 MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) TOTAL TOTAL 540 If the difference in column 1 is less than zero, enter "0" in column 2. APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY (Column 1) (Column 2) (Column 3) SMALL ENTITY OR CLAIMS HIGHEST ADDI-ADDI-REMAINING NUMBER PRESENT RATE (\$) TIONAL RATE (\$) TIONAL PREVIOUSLY **EXTRA AFTER** FEE (\$) FEE (\$) AMENDMENT PAID FOR Total OR Minus Х (37 CFR 1.16(i)) Independent Minus = = X х (37 CFR 1.16(h)) OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.18(j)) N/A OR N/A TOTAL TOTAL OR ADD'T FEE ADD'T FEE (Column 1) (Column 2) (Column 3) OR CLAIMS HIGHEST ADDI-ADDI-PRESENT REMAINING NUMBER RATE (\$) TIONAL RATE (\$) TIONAL ω AFTER **PREVIOUSIN EXTRA** FEE (\$) FEE (\$) **AMENDMENT** PAID FOR OR Minus == (37 CFR 1.16(i)) Independent Minus x = X = (37 CFR 1.16(h) OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR N/A TOTAL TOTAL OR ADD'T FEE ADD'T FEE * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1 This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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